

J.A. Woollam

# THIN ABSORBING FILMS & MULTI-SAMPLE ANALYSIS

## SESSION 5

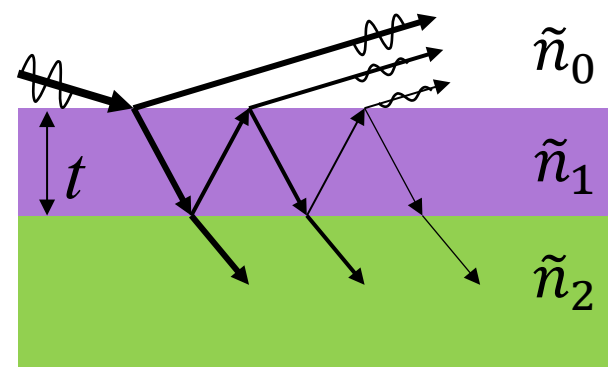
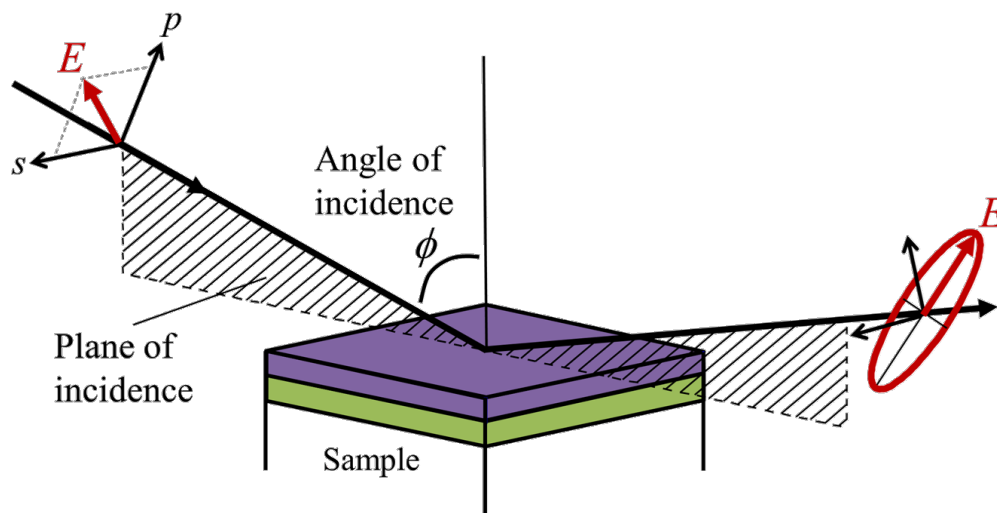
Andrew Martin

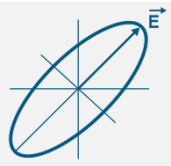
Yale University  
March 2025



# COURSE OUTLINE

- Session 1: Substrates
- Session 2: Transparent Films
- Session 3: Absorbing & Semi-Absorbing Films (B-Spline)
- Session 4: Semi-Absorbing Films (Gen-Osc)
- **Session 5: Thin Absorbing Films and Multilayers**
- Session 6: Advanced Topics





## SESSION 5 OUTLINE

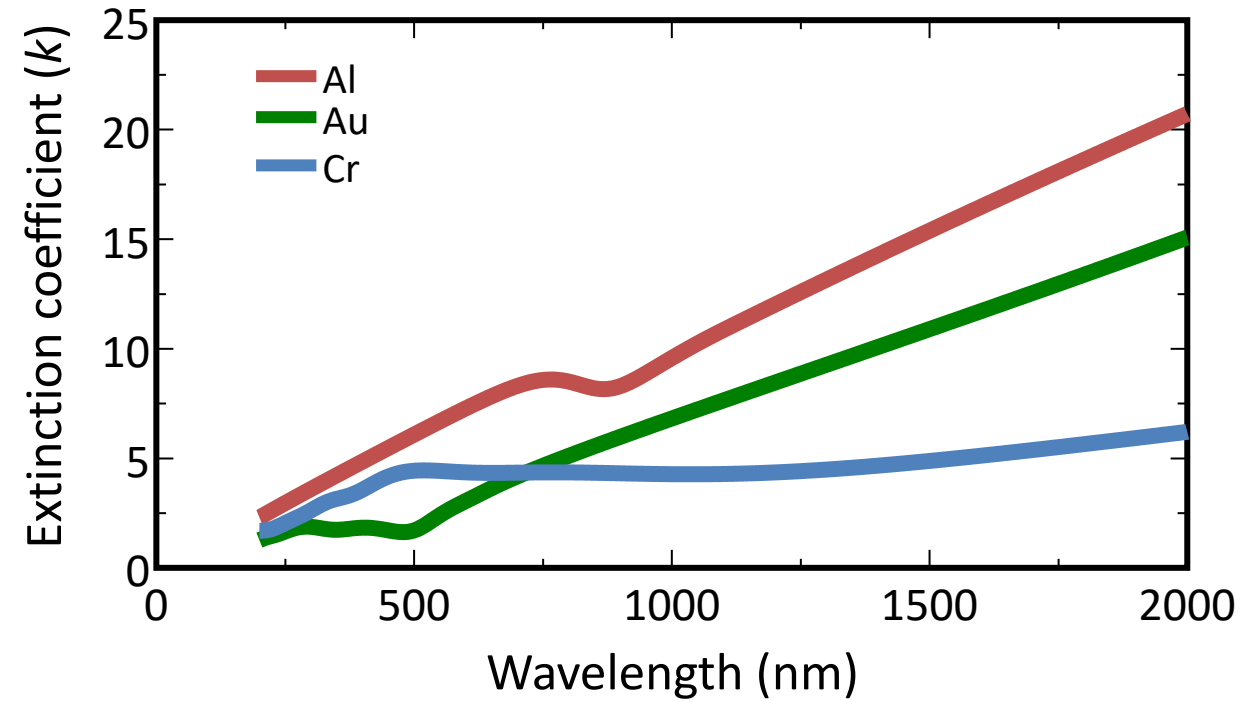
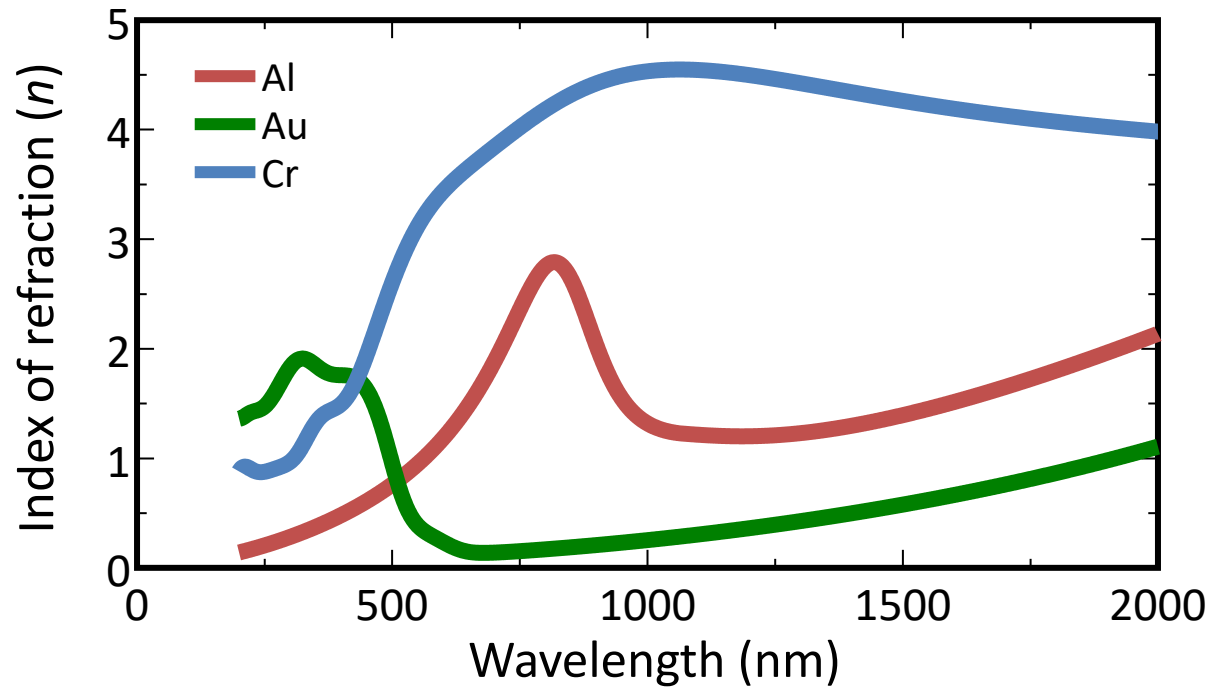
- Thin Absorbing Film Methods
  - Interference Enhancement
  - SE + Transmission Intensity
- Multilayers





# ABSORBING MATERIALS (METALS)

- No regions of transparency, so need to determine both  $n$  &  $k$ .

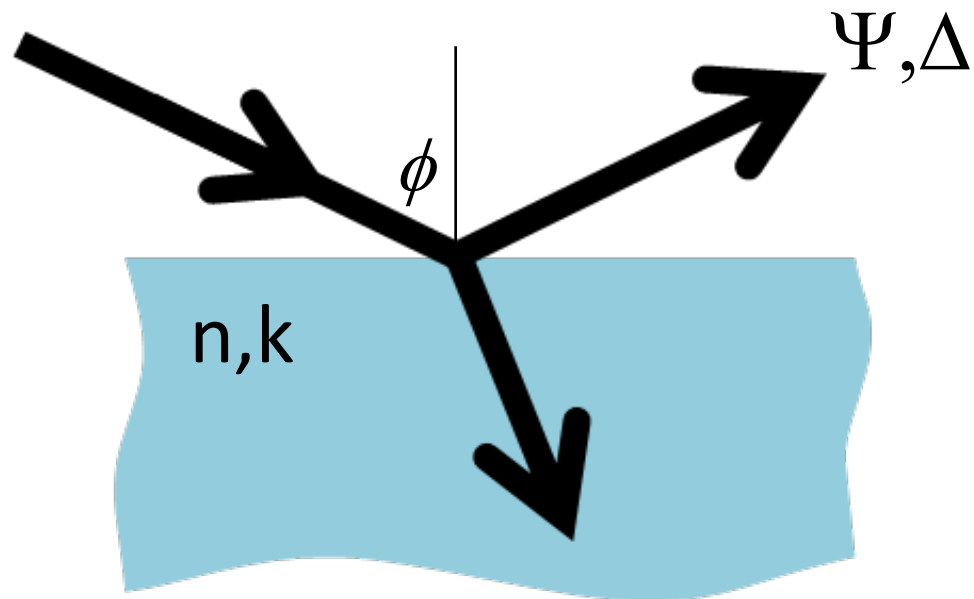




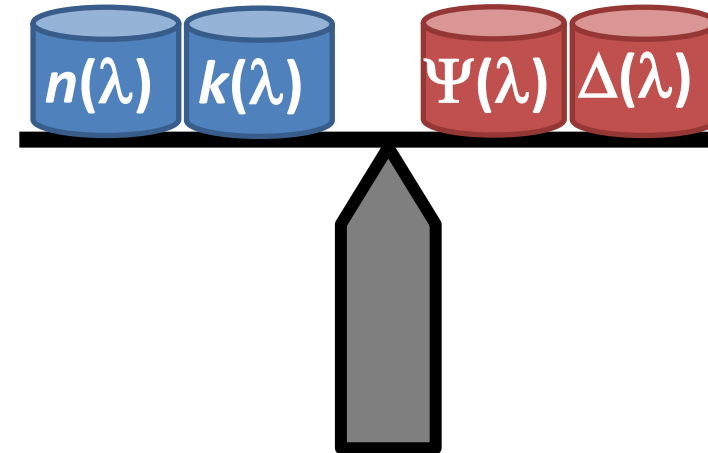


# OPAQUE LAYERS

- Treat metal as “substrate” if no light returns from bottom of layer. Typically 50-150nm thick.



Absorbing

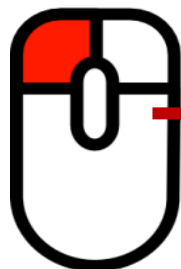
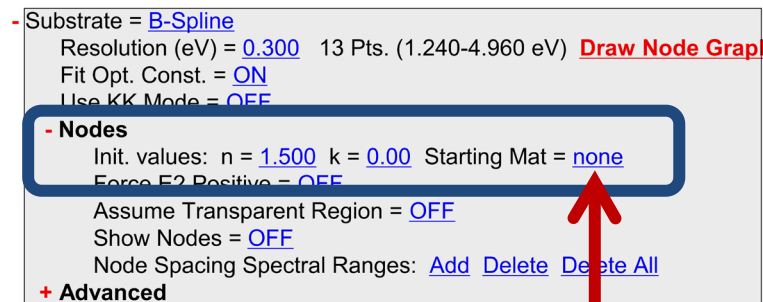


2 Measured Data ( $\Psi, \Delta$ )  
2 Unknowns ( $n, k$ )



# OPAQUE METAL PROCEDURE (SESSION 3)

## Preparing the B-spline



Expand the **Nodes** section, click on “Starting Mat = [none](#)”  
Select a new material file from Library

Add B-Spline as Substrate in Model



Expand Nodes:  
Add Metal as “Starting Mat”

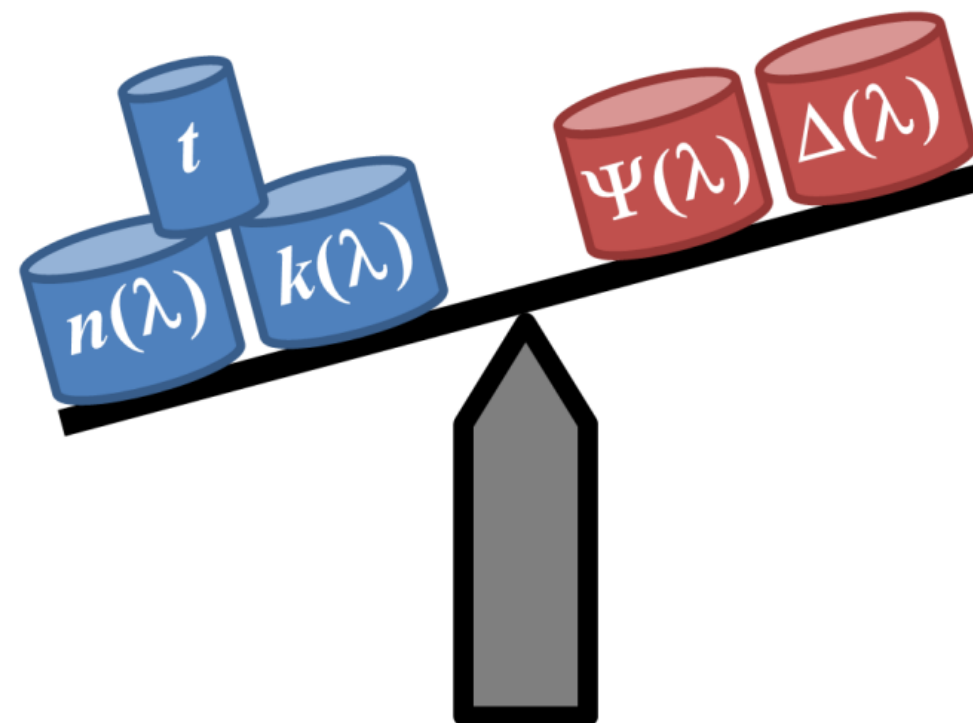
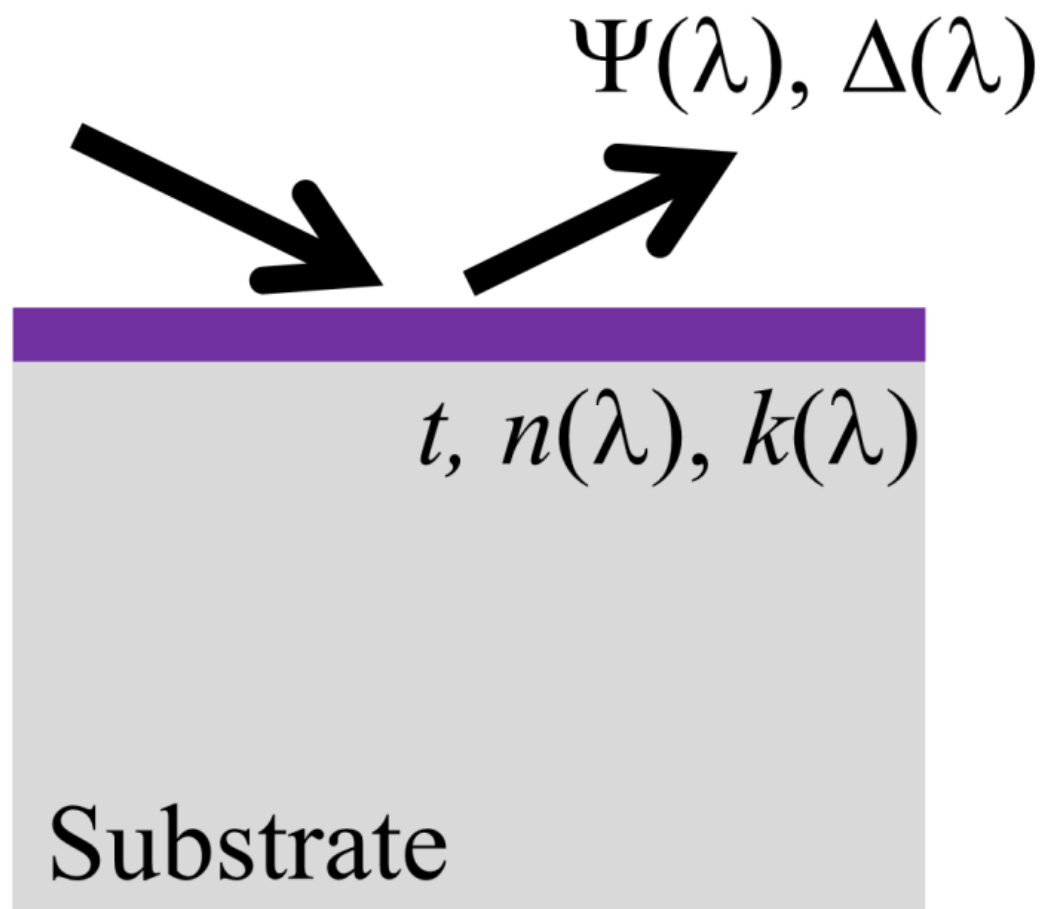


Press Fit,  
Optimize Node Resolution



# THIN METAL CHALLENGE

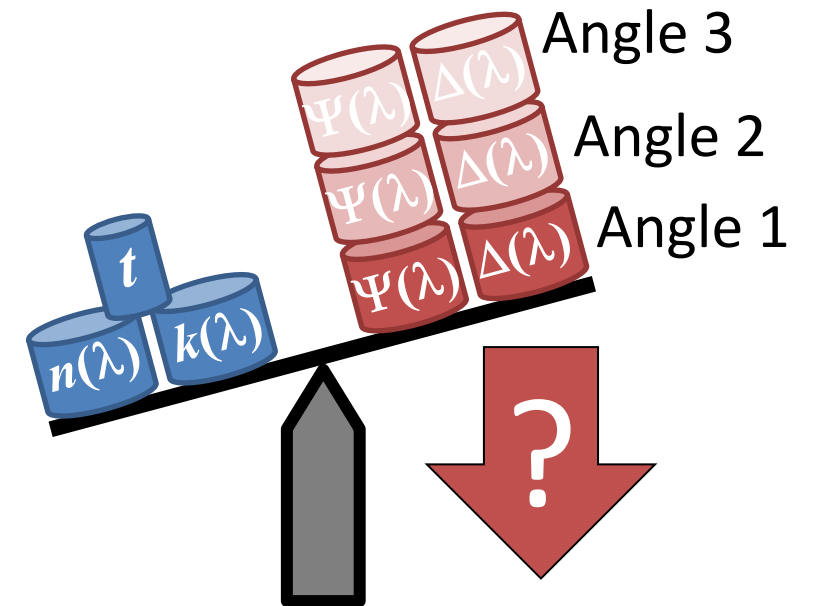
- Need to determine thickness,  $n$  and  $k$ !

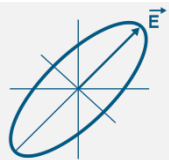




# MULTIPLE ANGLES ???

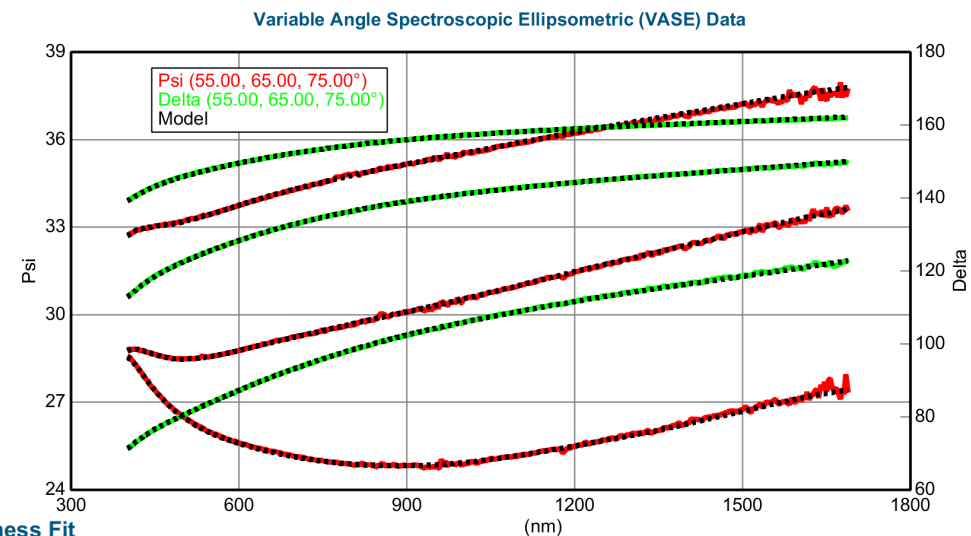
- $\Psi$  and  $\Delta$  data curves are different at each angle of incidence
- Can we use multiple angles to increase the data “content” and solve for both thickness and optical constants of thin metals???



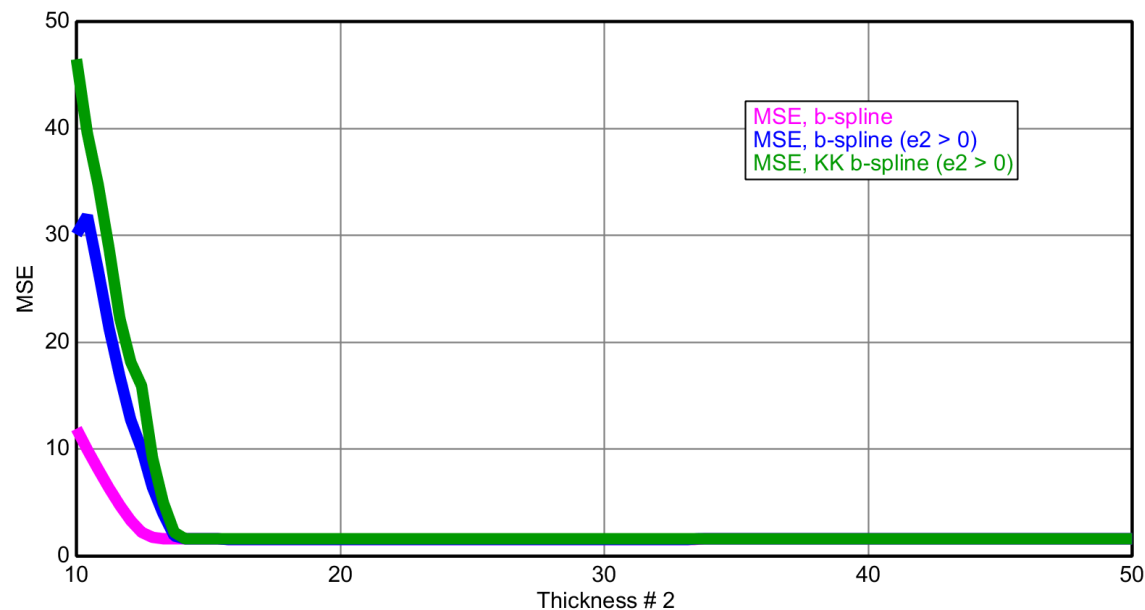


# THIN METAL ON SI (MULTIPLE ANGLES)

- Layer # 2 = [B-Spline](#) Thickness # 2 = [20.00 nm](#) (fit)  
 Resolution (eV) = [0.150](#) 16 Pts. (0.734-3.091 eV) [Draw Node Graph](#)  
 Fit Optical Constants = [ON](#)  
 Use KK Mode = [ON](#) (In Use)  
**+ Kramers-Kronig**  
**- Nodes**  
 Init. Values: n = [1.500](#) k = [0.00](#) Starting Mat. = [Ti](#)  
 Force E2 Positive = [ON](#)  
 Assume Transparent Region = [OFF](#)  
 Show Nodes = [OFF](#)  
 Node Spacing Spectral Ranges: [Add](#) [Delete](#) [Delete All](#)  
**+ Advanced**  
 Layer # 1 = [NTVE\\_JAW](#) Thickness # 1 = [1.50 nm](#)  
 Substrate = [Si\\_JAW](#)



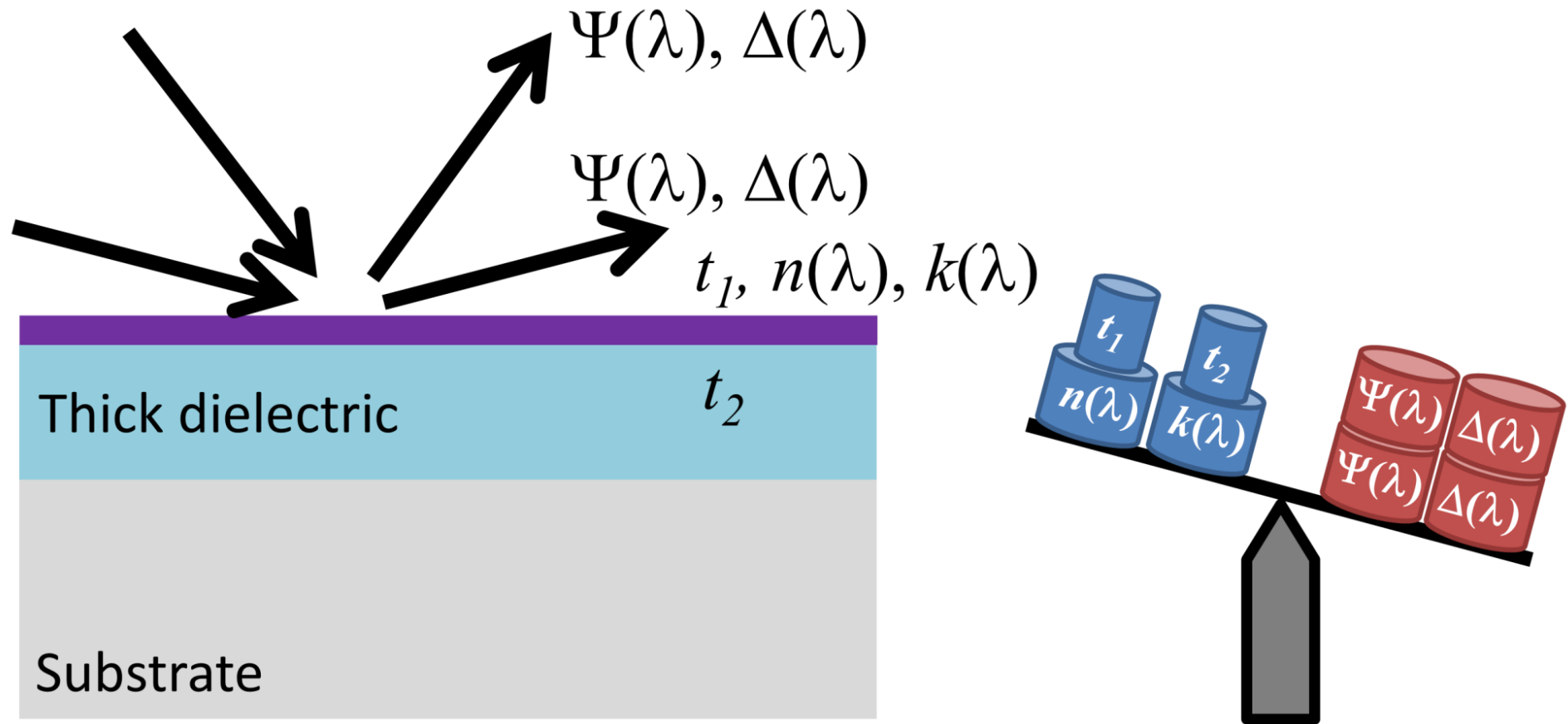
Parameter Uniqueness Fit





# STRATEGY #1: INTERFERENCE ENHANCEMENT

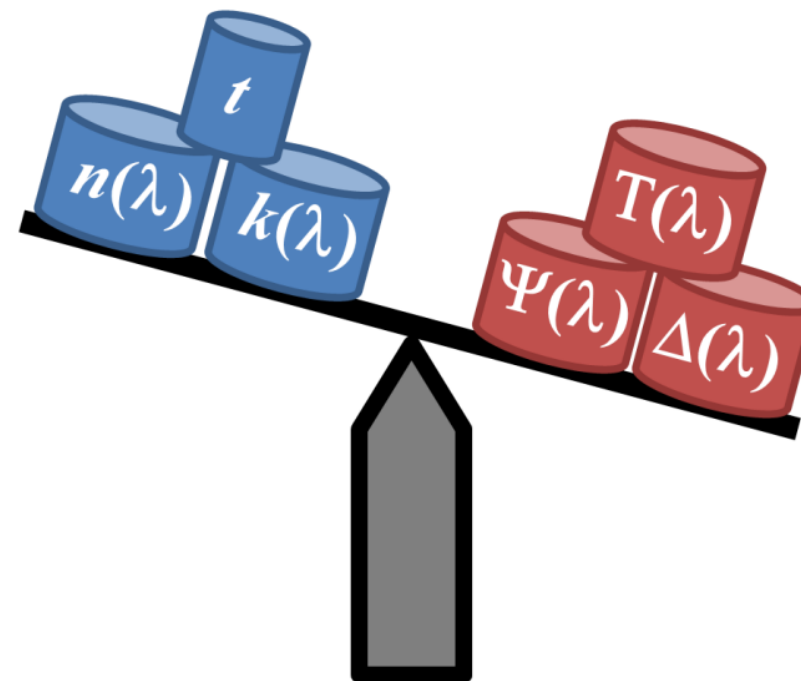
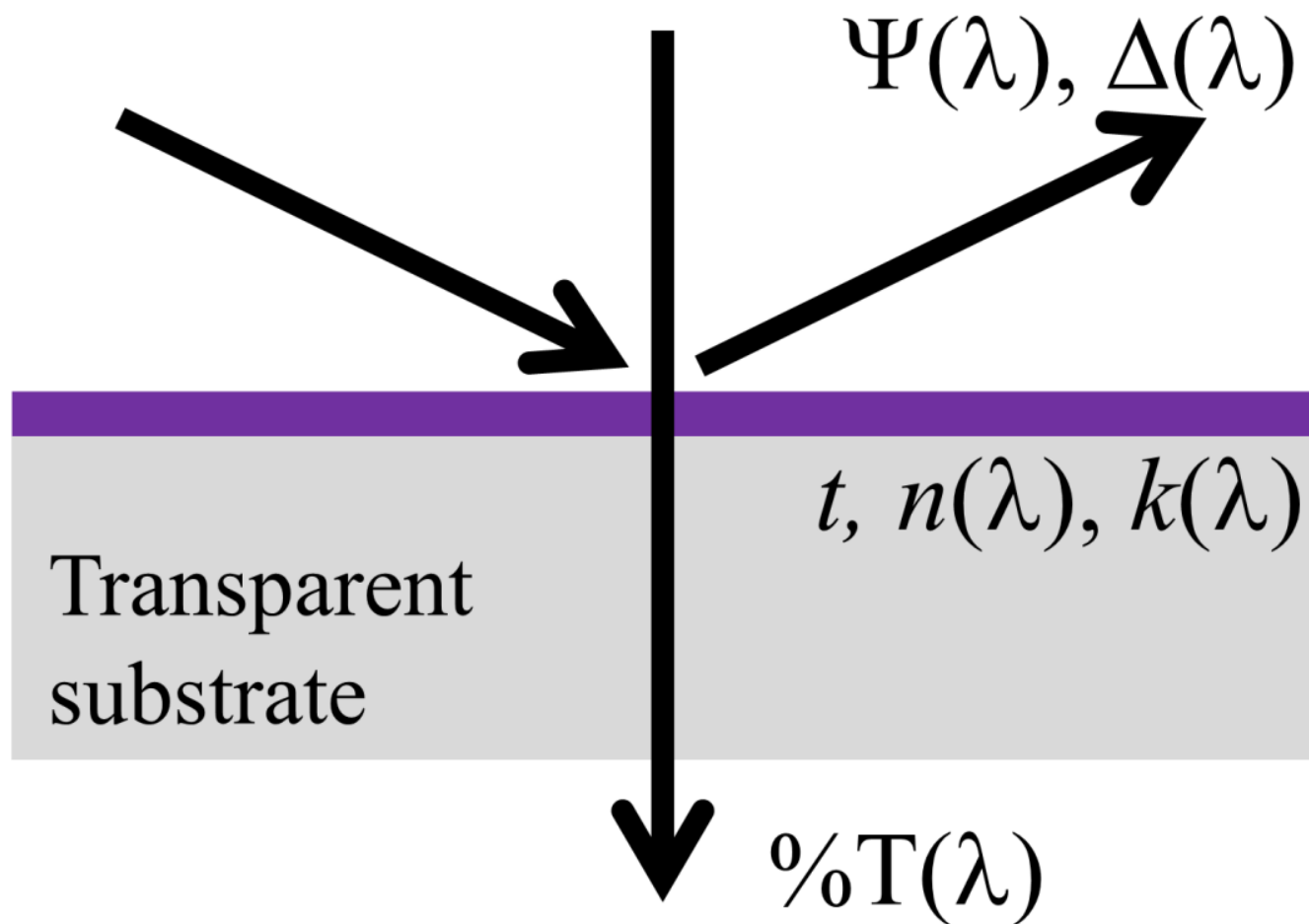
- Thick dielectric under absorbing film produces “NEW” information about absorbing film versus angle.

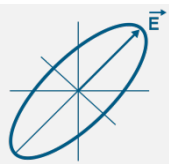




## STRATEGY #2: SE + INTENSITY

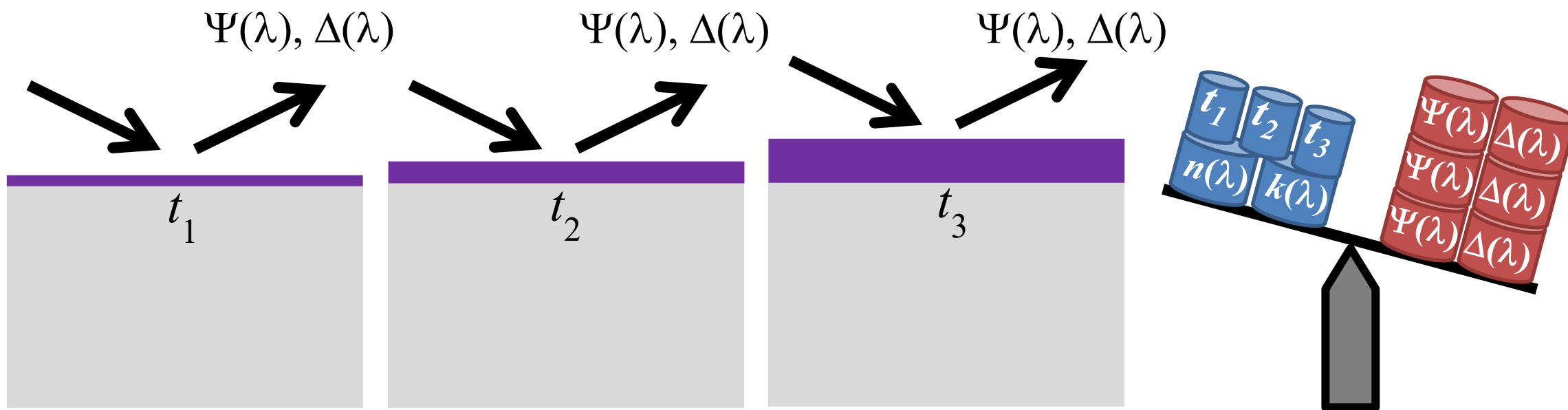
- Transmitted intensity adds new data content.





## STRATEGY #3: MULTI-SAMPLE ANALYSIS

- New information from multiple samples with same  $n, k$  but different thickness for new path length.







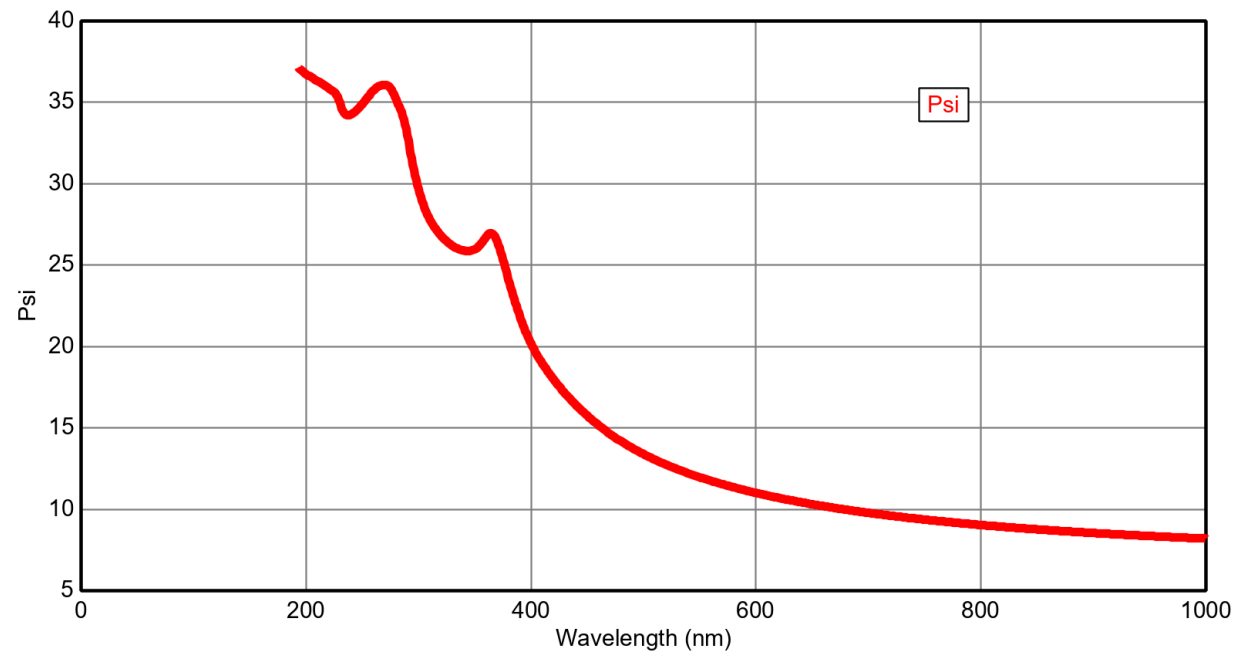
# **INTERFERENCE ENHANCEMENT**



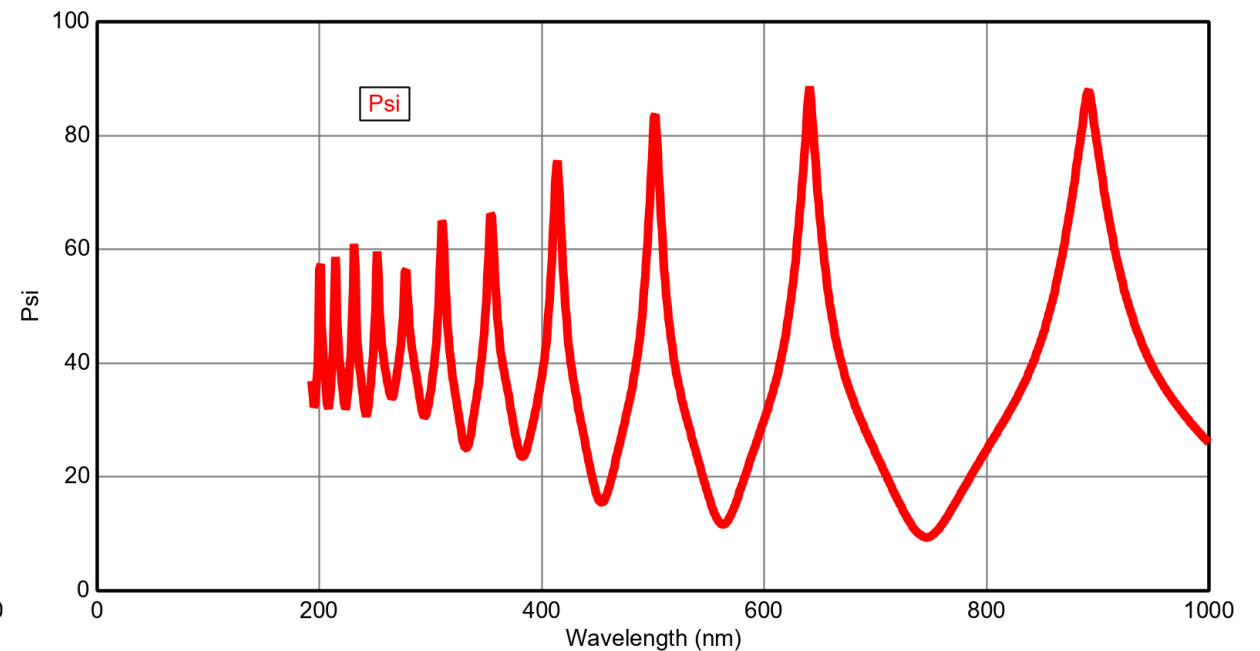
# ARTIFACT MINIMIZATION

- Correct film thickness when there are no “artifacts” in our thin film optical constants from the underlying sample “structure”

Native Oxide on Si



1 $\mu$ m Oxide on Si



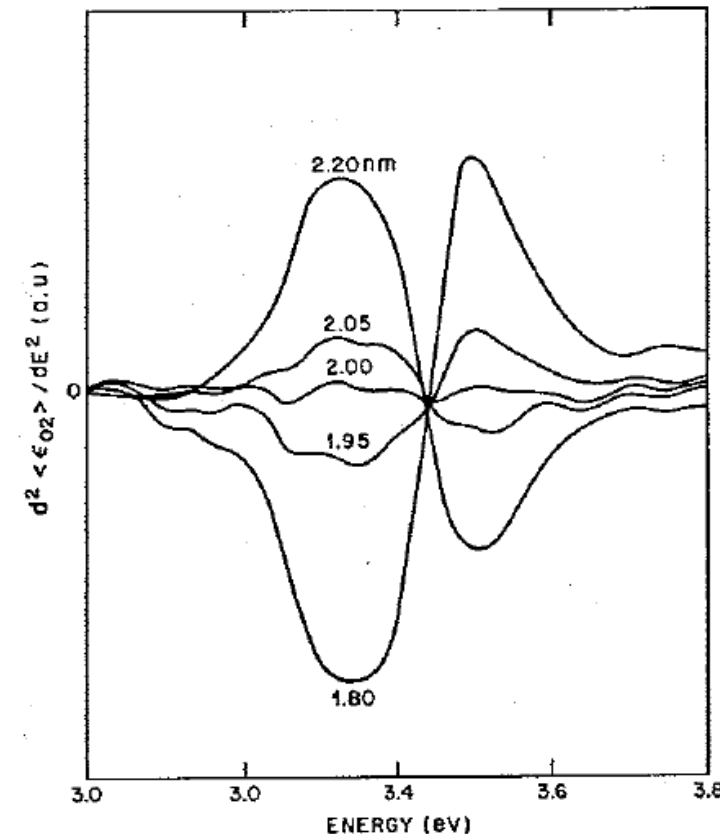
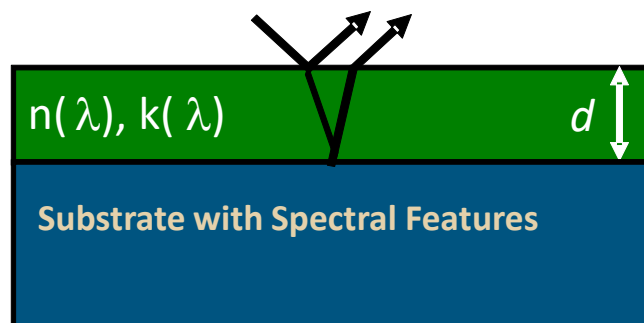


# “ARWIN-ASPNES” EFFECT

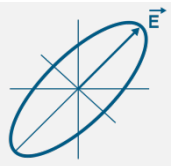
- Identify correct thickness when substrate “features” do not appear in film  $n, k$ .

Also referred to as “Artifact Minimization”

- Sharp substrate spectral features are preferred
- Film can’t have same features as substrate
  - B-spline forces metal film to have “smooth”  $n, k$  so it can’t follow the substrate features

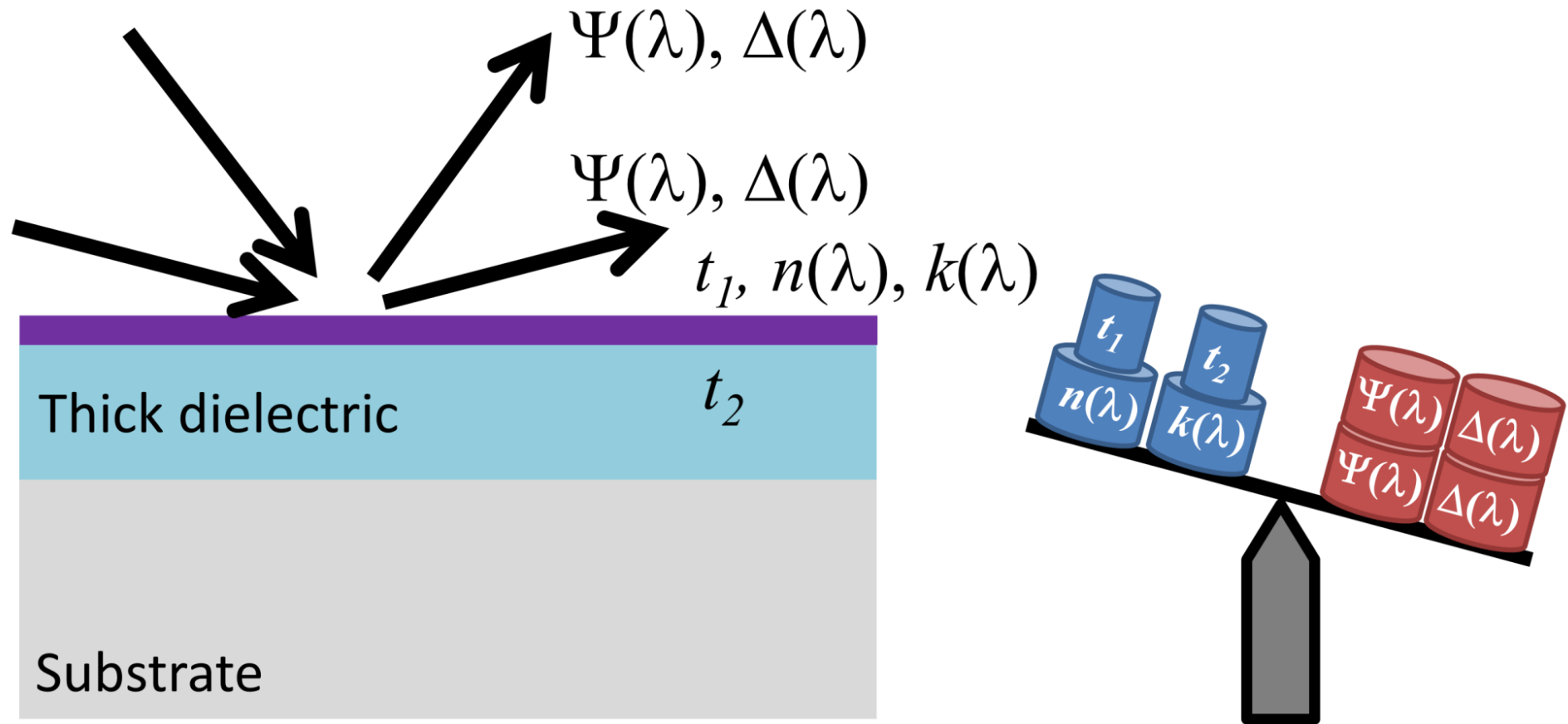


H. Arwin and D.E. Aspnes “Unambiguous Determination of Thickness and Dielectric Function of Thin Films by Spectroscopic Ellipsometry”, *Thin Solid Films*, **113** (1984) 101.



## STRATEGY: INTERFERENCE ENHANCEMENT

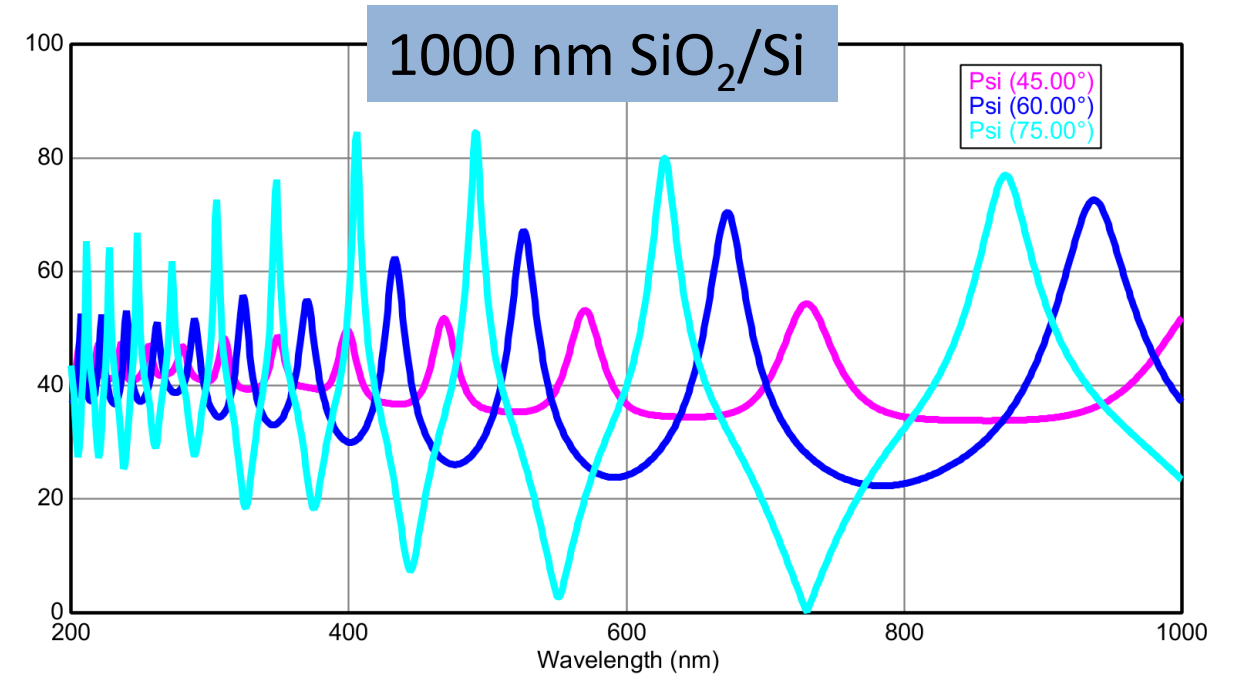
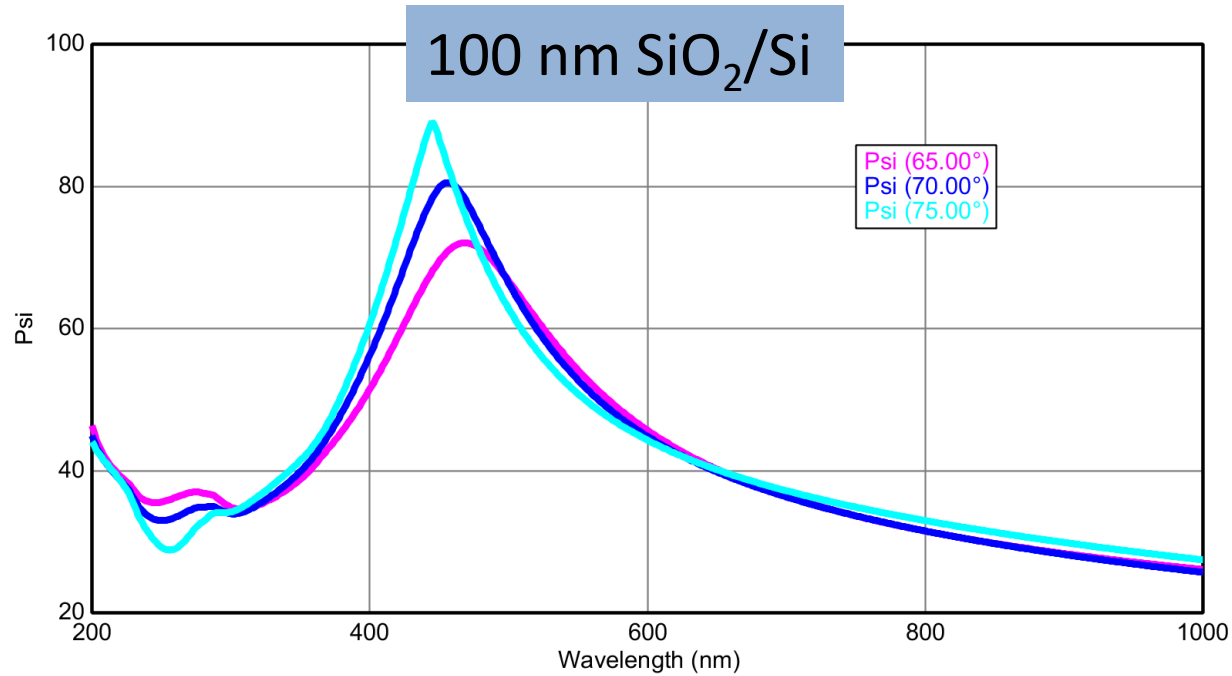
- Thick dielectric under absorbing film produces “NEW” information about absorbing film versus angle.





# WHY MULTIPLE ANGLES?

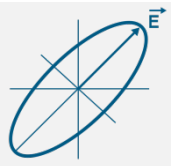
- Changing angle shifts spectral location for the “artifacts”



**Thicker dielectric has more  
“artifacts”**



**Wide angle separation also  
spreads “artifacts”**



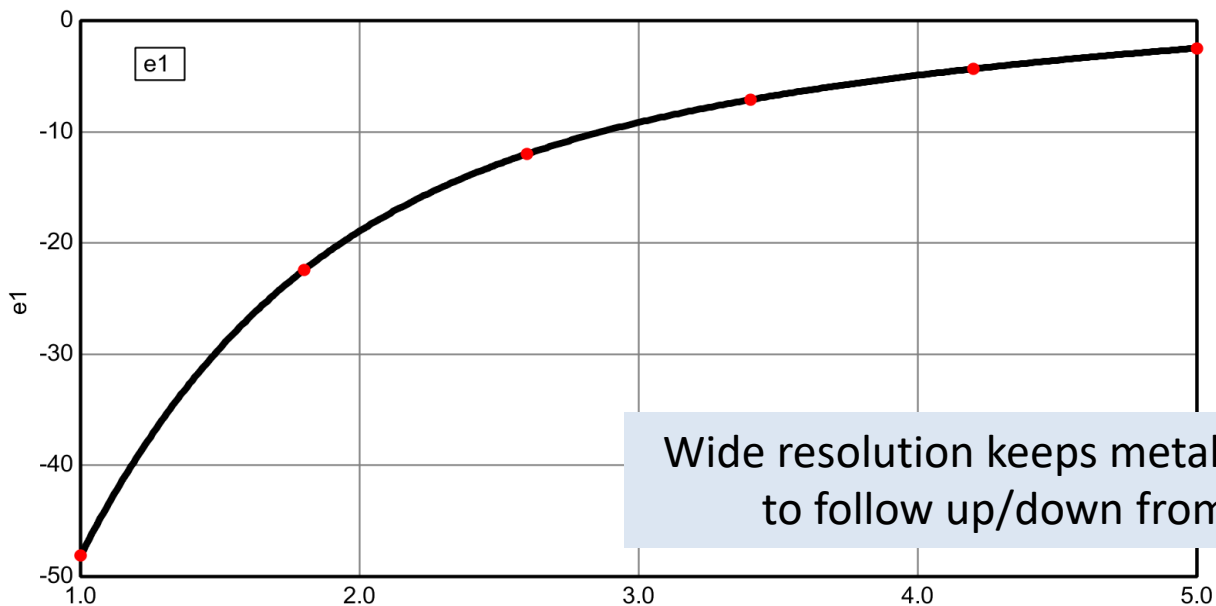
# INTERFERENCE ENHANCEMENT PROCEDURE

1. Build model. Include all layers and nominal thicknesses.
  - Start with published values if available.
  - Use B-spline or GenOsc to parameterize the absorbing film.
2. Adjust thicknesses iteratively
  - Adjust dielectric to match interference pattern.
  - Adjust absorbing layer to match amplitude...“dampening”.
  - Consider Global Fit as needed
3. Fit absorbing layer optical constants and both thicknesses
4. Check Results and Uniqueness.

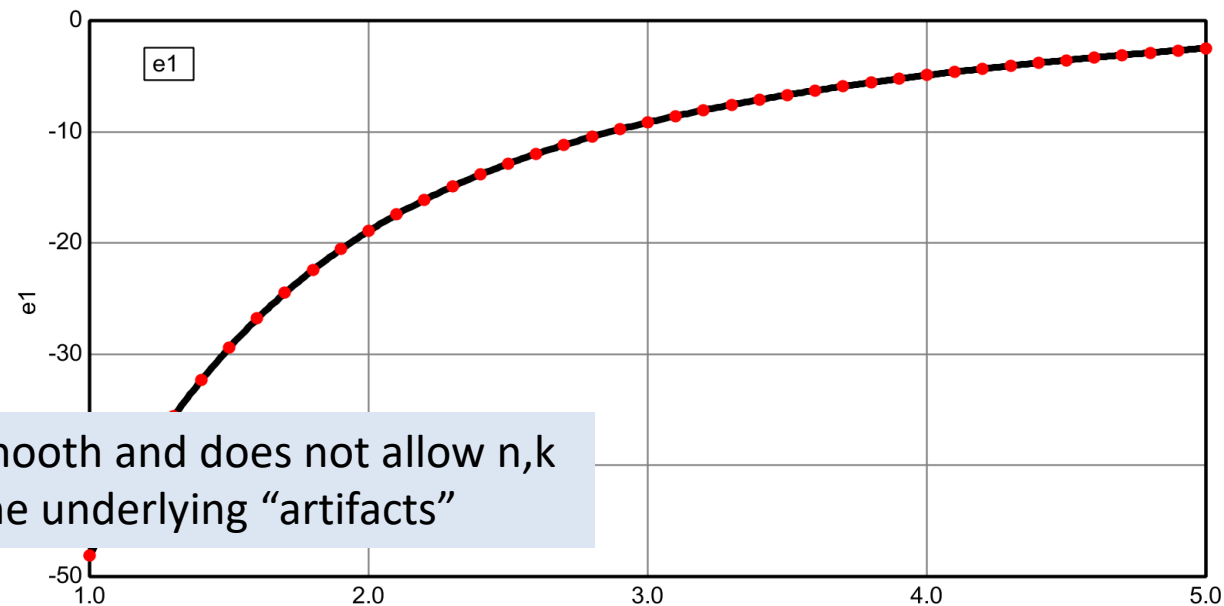


# B-SPLINE NODE RESOLUTION

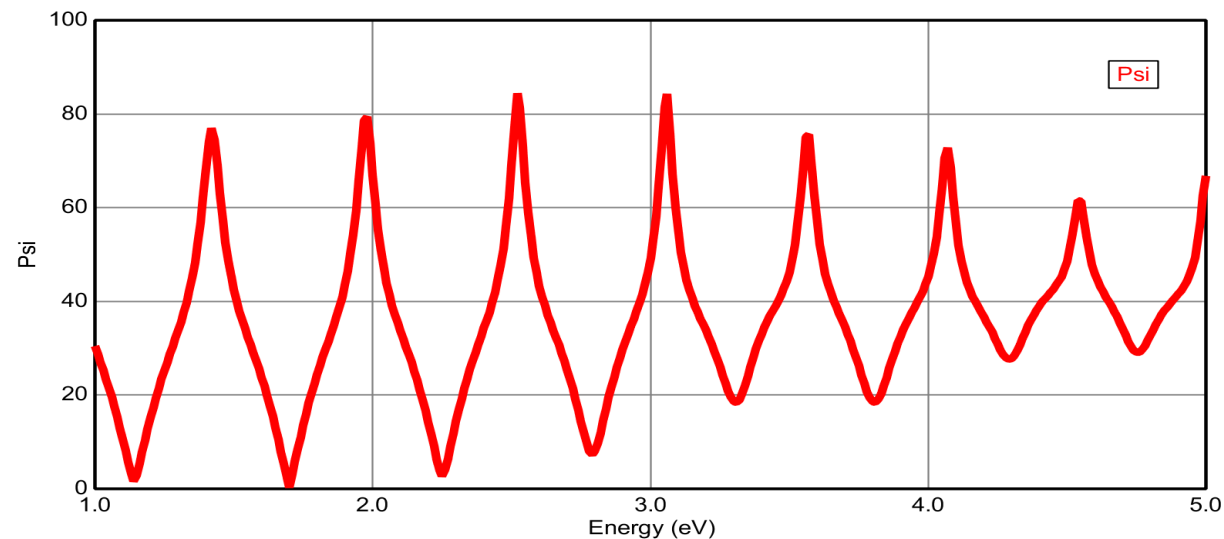
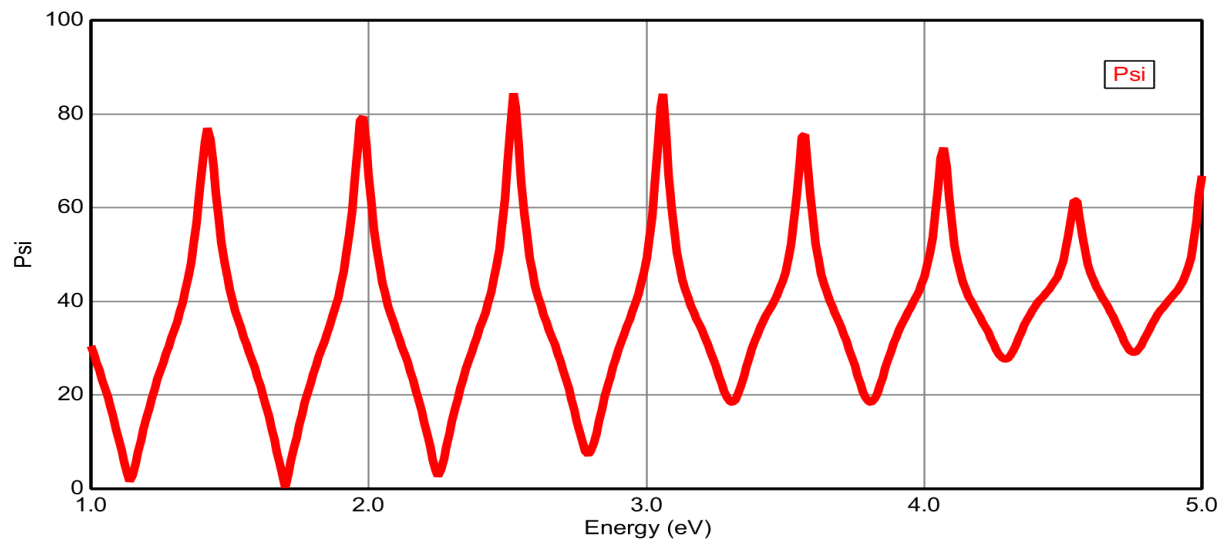
0.75 eV resolution



0.1 eV resolution



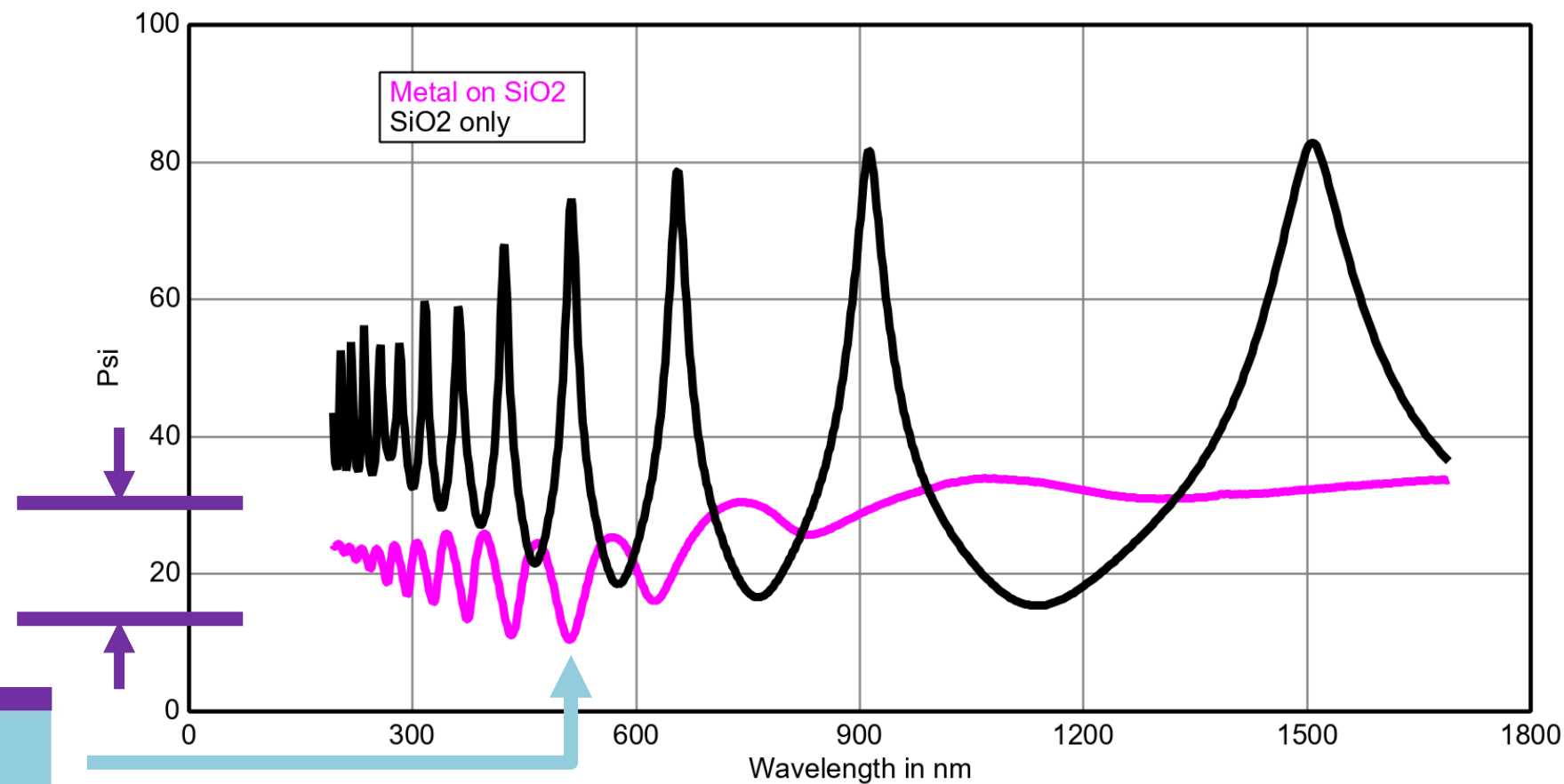
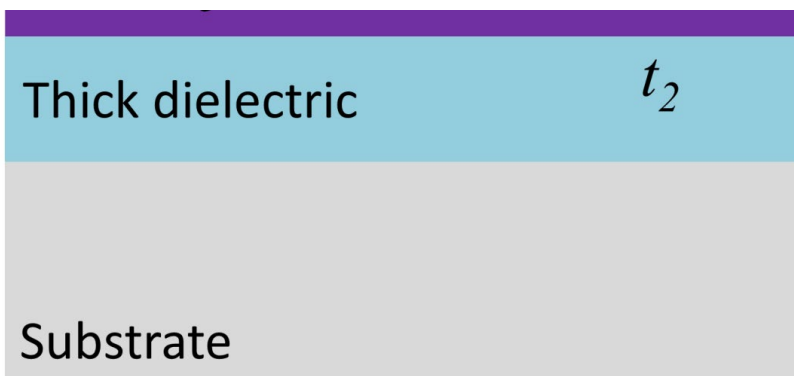
Wide resolution keeps metal smooth and does not allow  $n,k$  to follow up/down from the underlying “artifacts”





# DATA FEATURES (INTERFERENCE ENHANCEMENT)

Compression of oscillations caused by the metal absorption

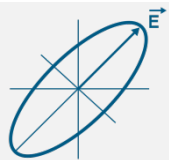


Large number of oscillations due to thick dielectric

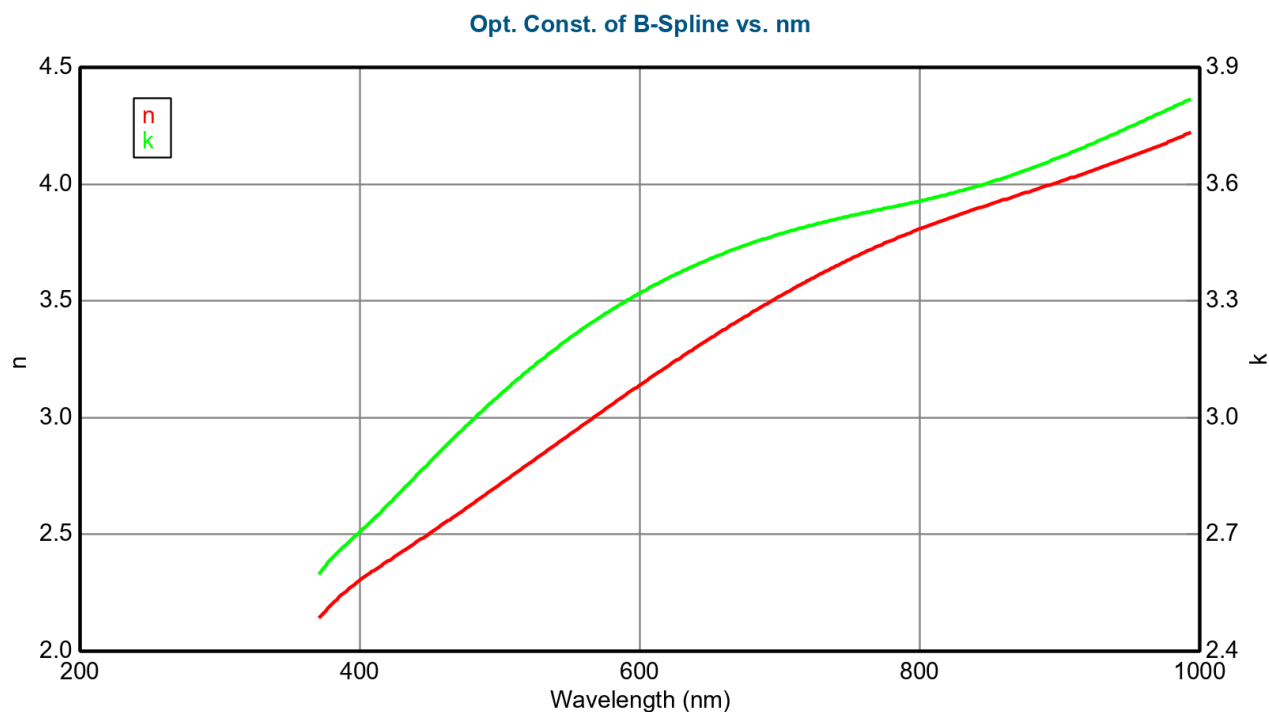
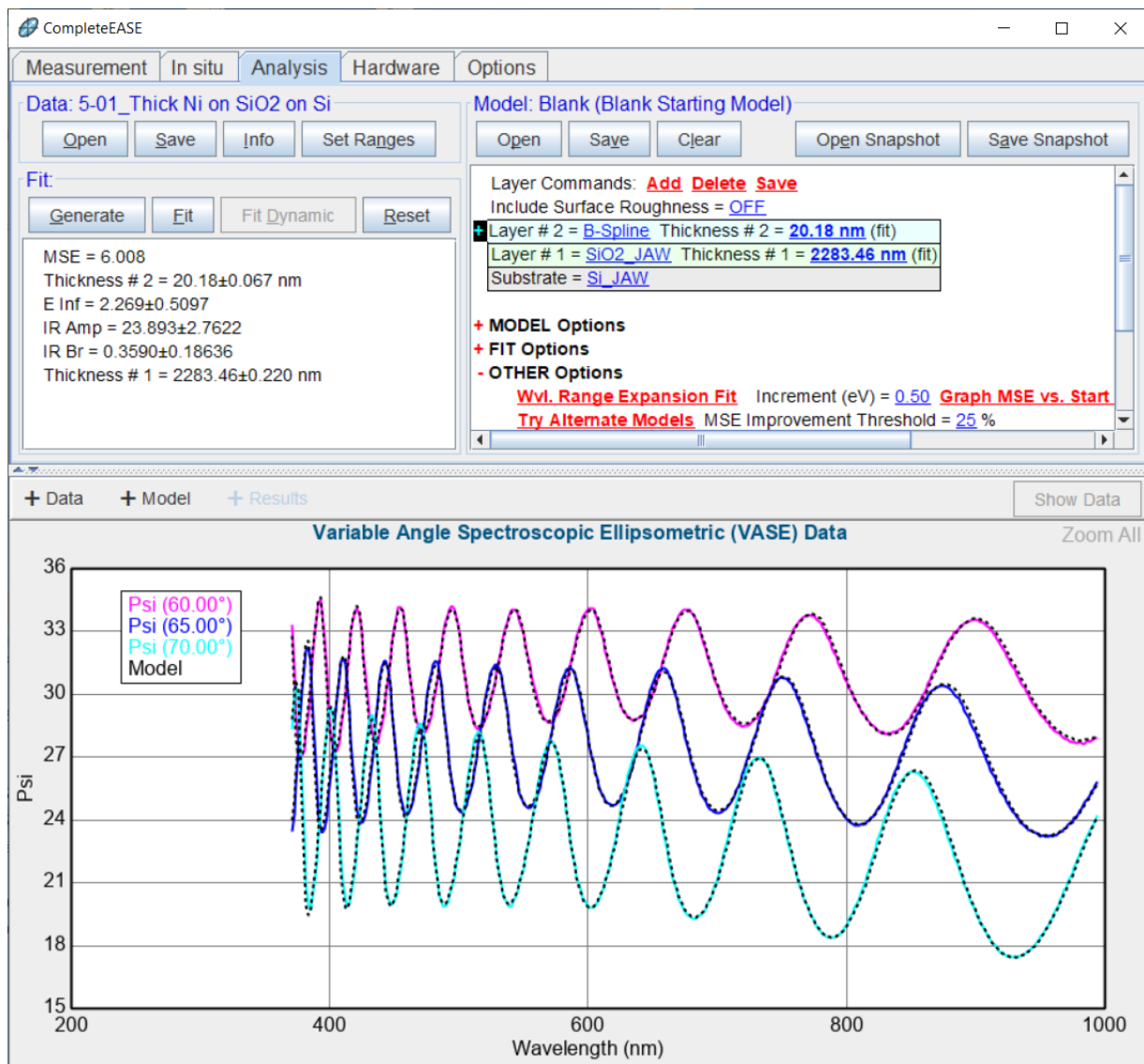


## 5-01 Ni on SiO<sub>2</sub> on Si

- Determine Ni optical constants for thin film on SiO<sub>2</sub>-coated silicon substrate.

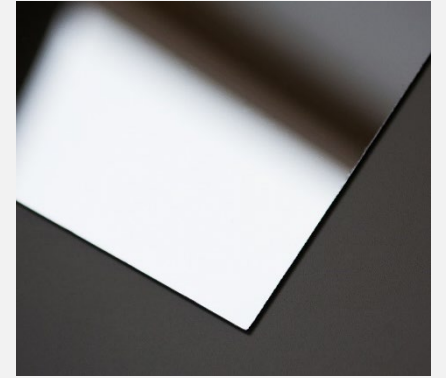


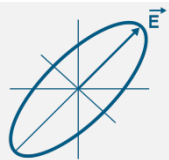
# NI ON SiO<sub>2</sub> ON SI: RESULTS



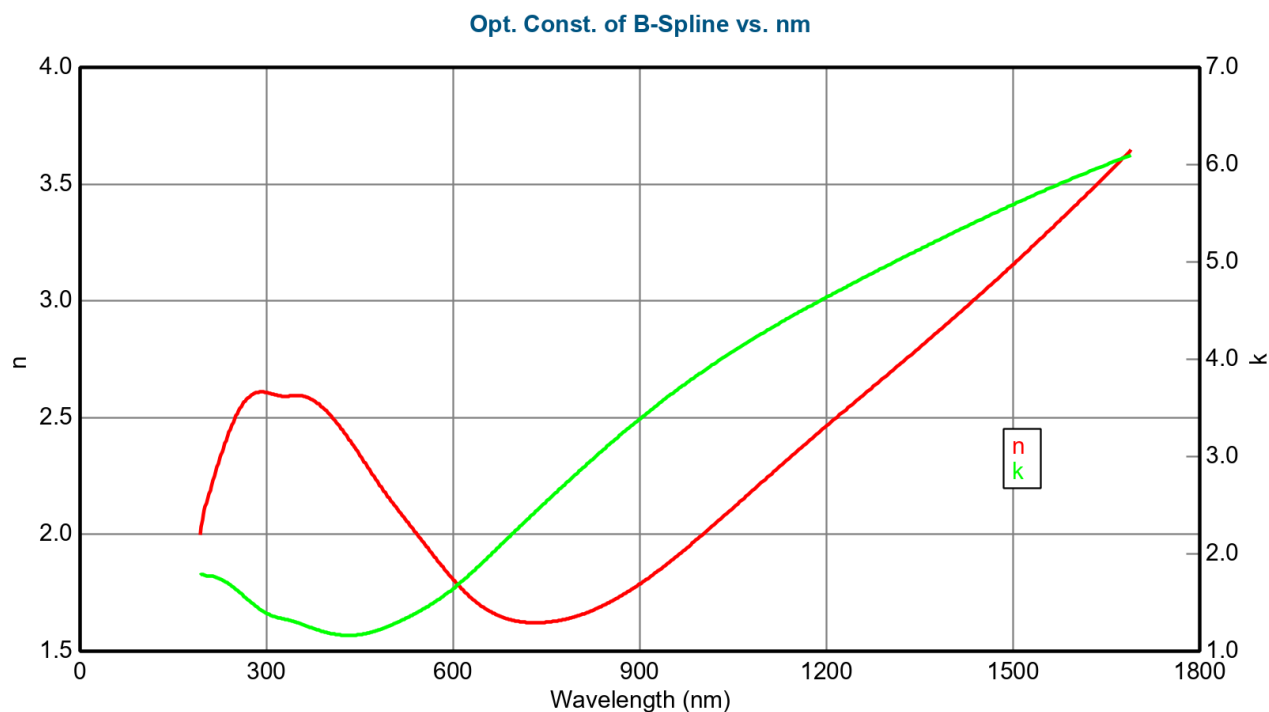
## 5-02 TiN on SiO<sub>2</sub> on Si

- Try to determine TiN optical constants for thin film on SiO<sub>2</sub>-coated Silicon substrate.





# TiN ON SiO<sub>2</sub> ON Si: RESULTS



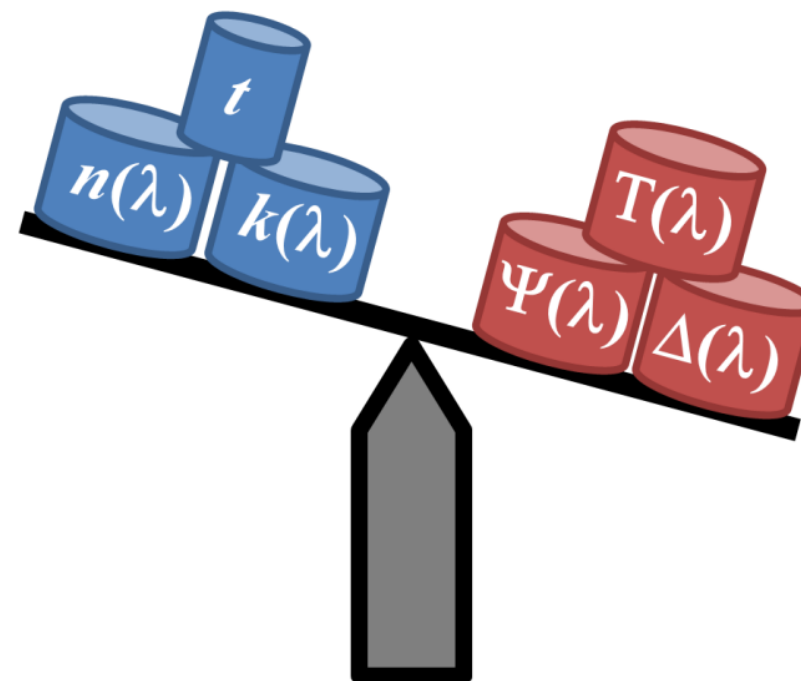
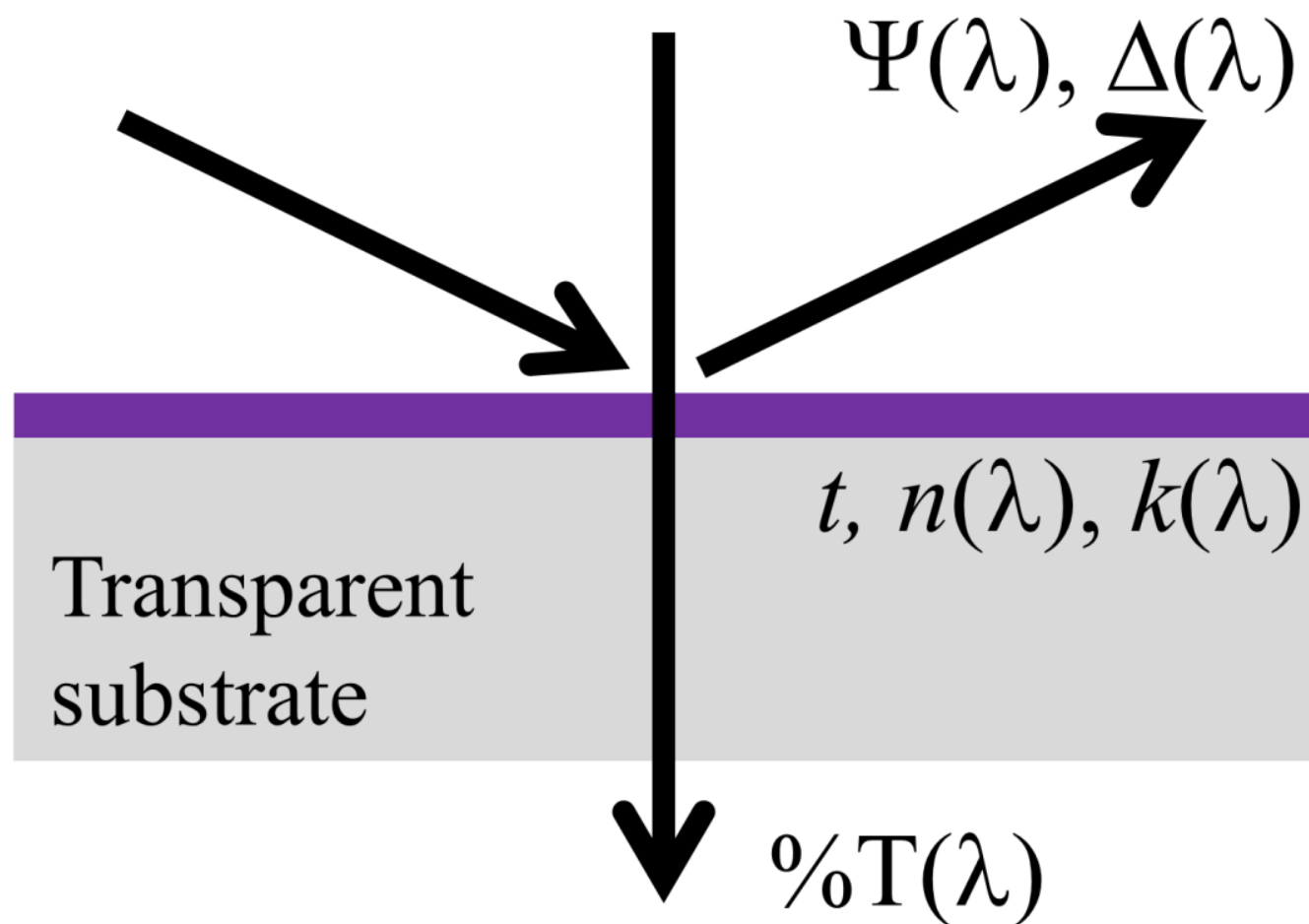


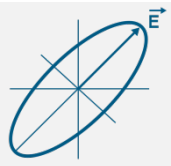
**SE + TRANSMISSION**



## STRATEGY: SE + INTENSITY

- Transmitted intensity adds new data content.

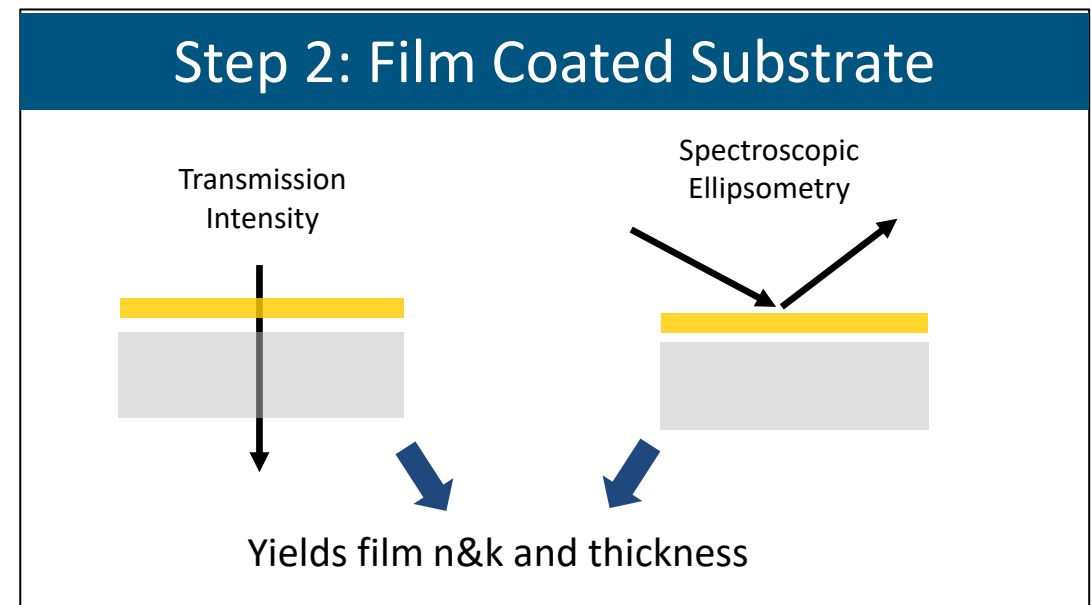
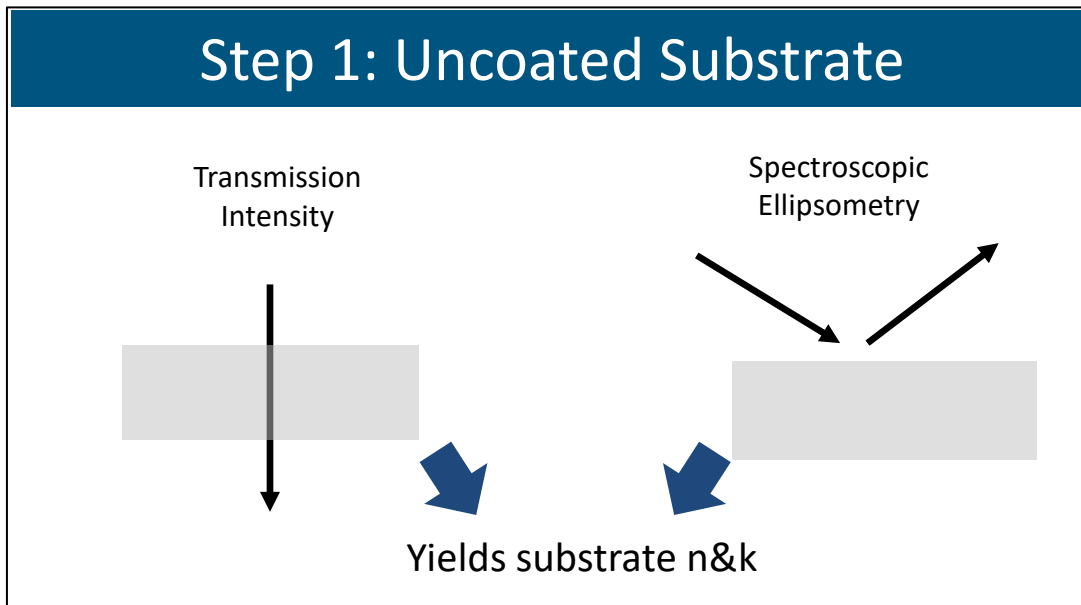




# SE + TRANSMISSION ON THIN ABSORBING FILMS

**Step 1:** Measure and analyze bare reference substrate

**Step 2:** Measure and analyze thin absorbing films





# TRANSMISSION INTENSITY IN COMPLETE EASE

Acquisition Parameters Setup

**Data Acquisition Parameters**

Data Type: Transmission Intensity

Acq. Time: 1.00 ☒ High Accuracy Mode

**Scan Options**

Angle Scan: 45.00 To 75.00 By 5.00

☒ Measure In Transmission Mode

**Alignment Options**

Sample Tilt Alignment: Automatic

Sample Height Alignment: Automatic-Quick

Sample Thickness: 0.729 mm

☐ Align At First Angle

**Other Options**

☒ Do Not Return To Sample Load Position

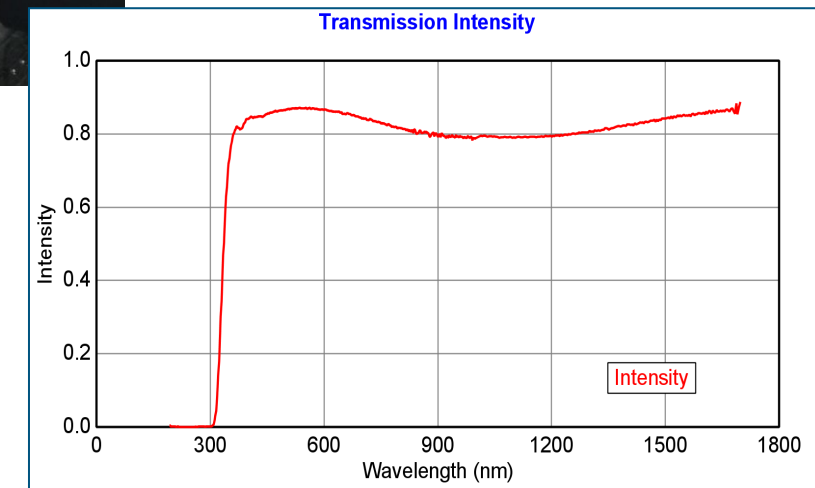
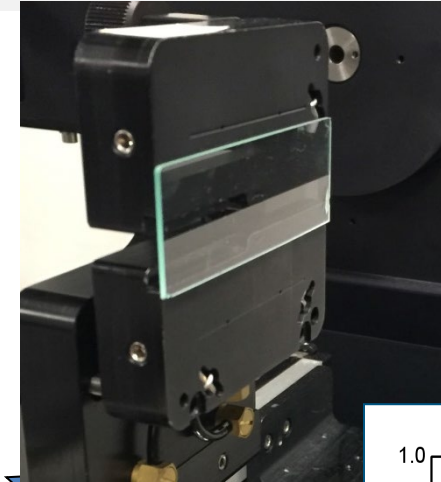
☒ Do Not Reposition Translator

Intensity Measurement

Remove Sample for Baseline Measurement

Intensity Measurement

Mount Sample for Measurement



- I. Perform baseline measurement without sample ( $I_0$ )
- II. When prompted, hold sample perpendicular to beam path ( $I_1$ )

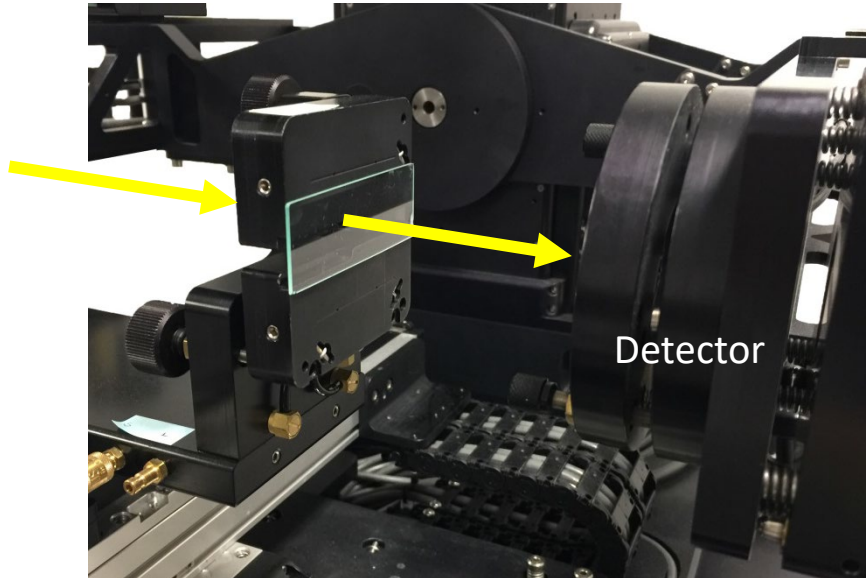
$$T = \frac{I_1}{I_0}$$





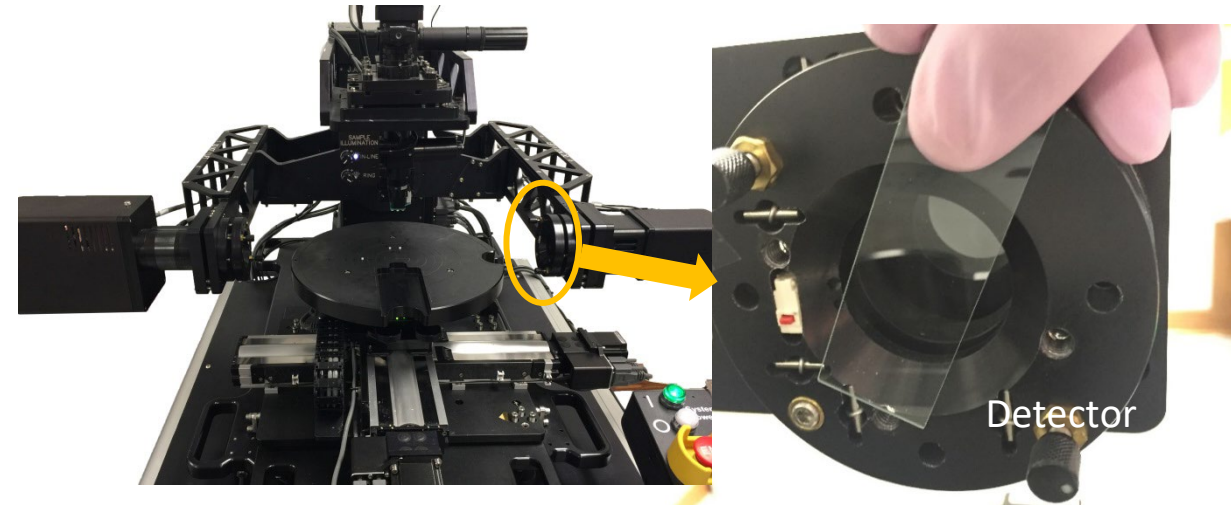
# TRANSMISSION SAMPLE MOUNT ON HORIZONTAL BASE

## ■ Transmission Stage

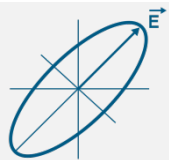


- Offers tip-tilt alignment
- Accommodates a range of sample sizes

## ■ Manual Position

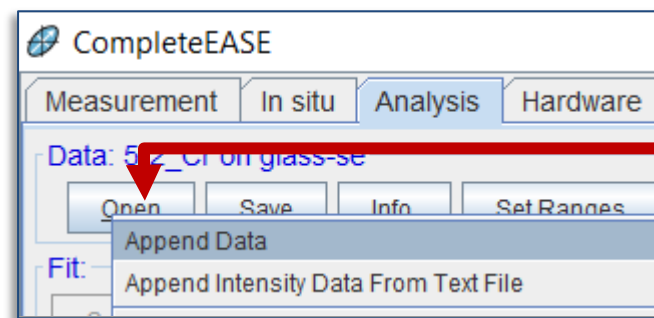


- No stage needed (or swapping of stages)
- Approximate alignment
- Limited sample sizes



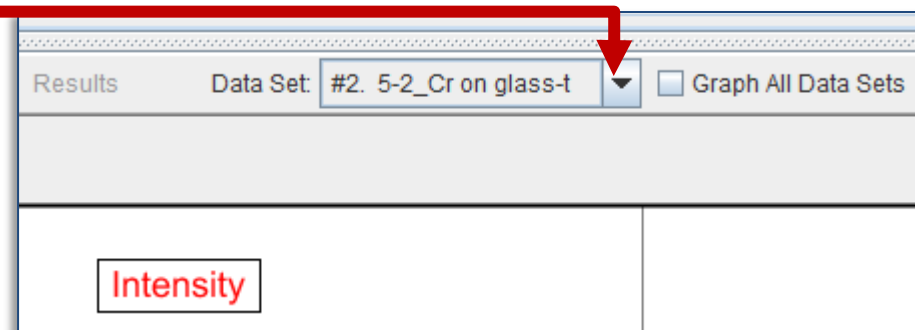
# MULTIPLE DATA SETS IN COMPLETEEASE

- Right-Click on 'Open' to APPEND Data.

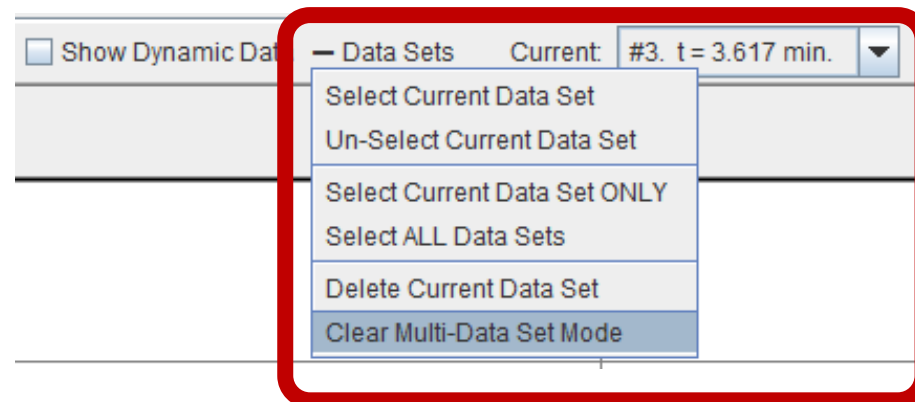


- Move between data sets with drop-down menu.

(Alt-#, toggles between data sets)



- +Data Sets to Select, Un-select, Delete Data Sets





# WORKING WITH TRANSMISSION DATA

1. “Include Substrate Backside Correction” = ON  
(in Model Options)
2. Set Substrate Thickness to nominal value  
(use caliper to measure)
3. Set # Back Reflections

NOTE: “Transmission SE Data” is used to inform that measurement was collected from transmitted rather than reflected beam.

Include Surface Roughness = [ON](#) Roughness = [2.18 nm](#) (fit)  
Substrate = [B-Spline](#) Substrate Thickness = [1.0000 mm](#)  
Resolution (eV) = [0.300](#) 20 Pts. (0.731-6.462 eV) [Draw Node](#)  
Fit Opt. Const. = [ON](#)  
Use KK Mode = [OFF](#)  
**+ Nodes**  
**+ Advanced**

## MODEL Options

Angle Offset = [0.00](#)

Include Substrate Backside Correction = [ON](#)

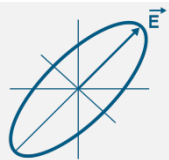
Transmission SE Data = [OFF](#) Reverse Direction = [OFF](#)

# Back Reflections = [0.00](#)

% 1st Reflection = [100.00](#)

Model Calculation = [Ideal](#)

= 0 if reflection SE data  
does not collect back  
reflection.



# SETTING TRANSMISSION WEIGHTING

1

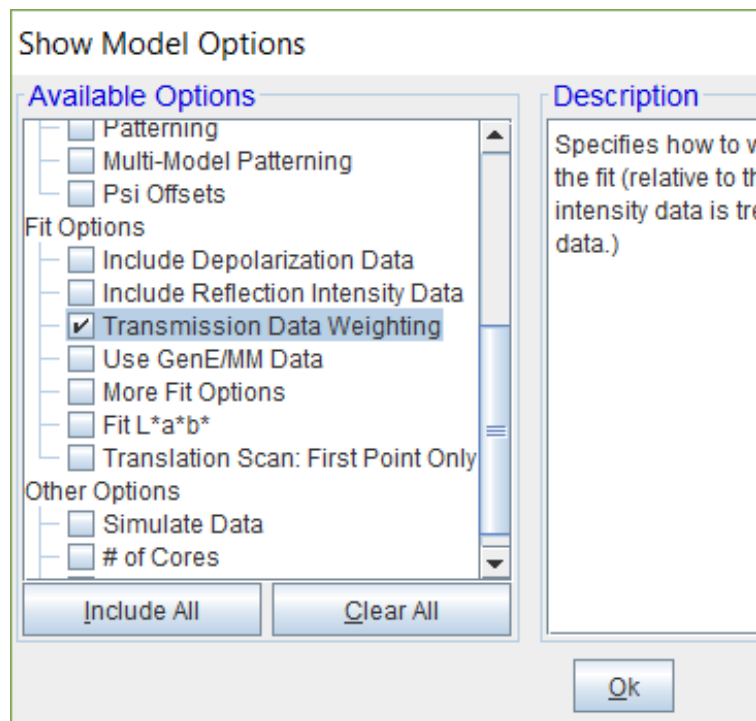
+ MODEL Options

+ FIT Options

+ OTHER Options

**Configure Options**

**Turn Off All Fit Parameters**



- When SE data set contains many angles of incidence, the FIT is biased towards SE over Transmission Data.
- Can increase Transmission Data weighting to compensate

2

- FIT Options

Perform Thickness Pre-Fit = [OFF](#)

Use Global Fit = [OFF](#)

Fit Weight = [N.C.S.](#)

**Transmission Data % Weight = [100.00](#)**

Limit Wvl. for Fit = [OFF](#)

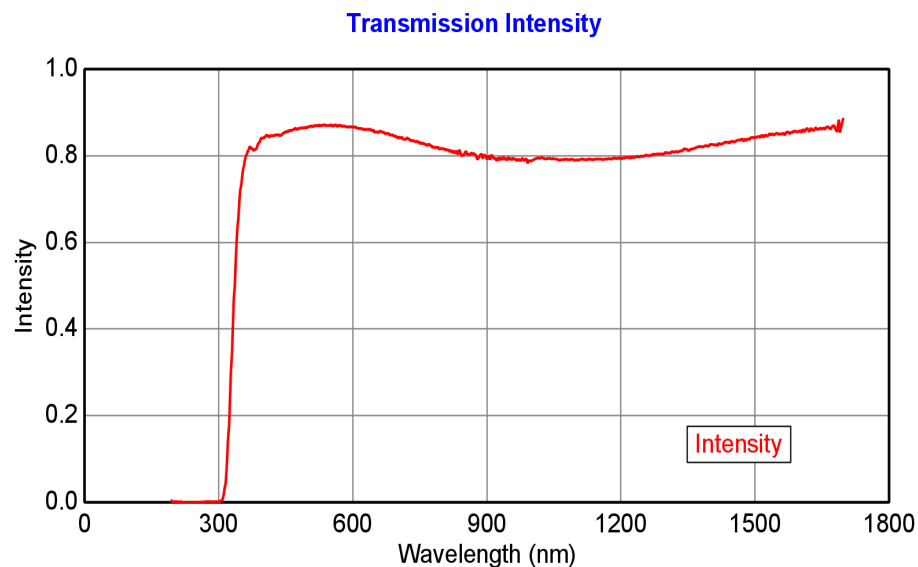
Include Derived Parameters = [OFF](#)



**100% sets Transmission curve equal to 1 SE data curve. If you collect 5 angles, may want to increase to 500%**

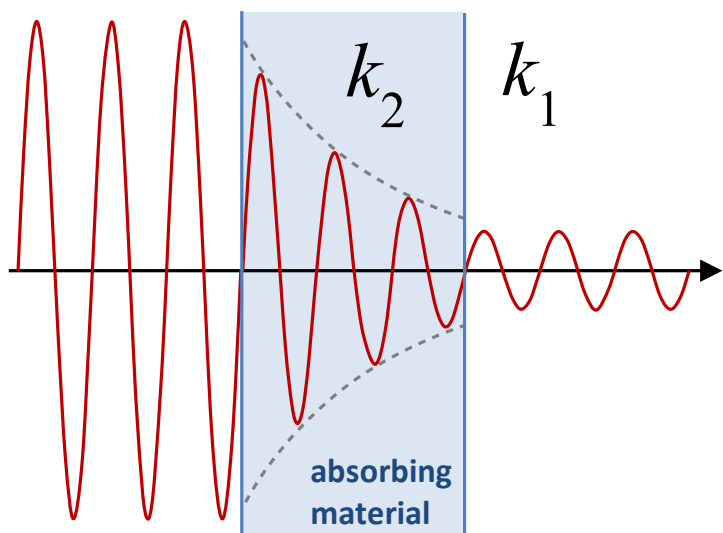


# ABSORPTION IN GLASS SUBSTRATE



- Glass may have small absorptions in UV or in NIR
- SE not sensitive to “very small” absorption ( $k < 0.001$ ) in substrate.
- Transmission intensity is sensitive to small  $k$ -values in substrate due to long path length.

\*no sensitivity to substrate thickness  
(use nominal value)

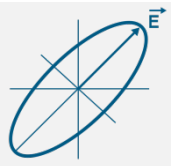


$$I(z) = I_o e^{-\alpha z}$$

$$\alpha(\lambda) = \frac{4\pi k(\lambda)}{\lambda}$$



**Add Transmission to  
characterize  $k$ .**



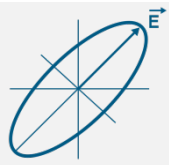
# GLASS SUBSTRATES (STRATEGIES)

## SE data only

- Cauchy/Sellmeier for  $n$  (match  $\Psi$ )
- Roughness (match  $\Delta$ )
- # of Backside Reflections when applicable (shift in  $\Psi$  with angle)

## SE + T

- Cauchy/Sellmeier for  $n$  (match  $\Psi$ )
- Roughness (match  $\Delta$ )
- # of Backside Reflections when applicable (shift in  $\Psi$  with angle)
- B-spline or Genosc for  $k$  (match T)
  
- Hybrid Layer???
  - Cauchy/Sellmeier for  $n$  ( $\varepsilon_1$ )
  - B-spline for  $k$  ( $\varepsilon_2$ )



# HYBRID LAYER

- Allows different dispersion equations for  $n, k$  (or  $\varepsilon_1, \varepsilon_2$ )

$$\tilde{\varepsilon} = \varepsilon_1 - i\varepsilon_2 = \tilde{n}^2 = (n - ik)^2 = n^2 - 2ink - k^2$$

$$\varepsilon_1 = n^2 - k^2 \qquad \varepsilon_2 = 2nk$$

- Advantage:
  - Smooth  $n$ , match small  $k$
- Disadvantage:
  - Lose KK consistency

- Substrate = [Hybrid](#) Substrate Thickness = [3.0000 mm](#)  
Optical Constants = [e1 & e2](#)  
+ e1 Function = [Gen-Osc](#)  
+ e2 Function = [B-Spline](#)



# AUTOMATED MODELS

Model: Blank (Blank Starting Model)

Open

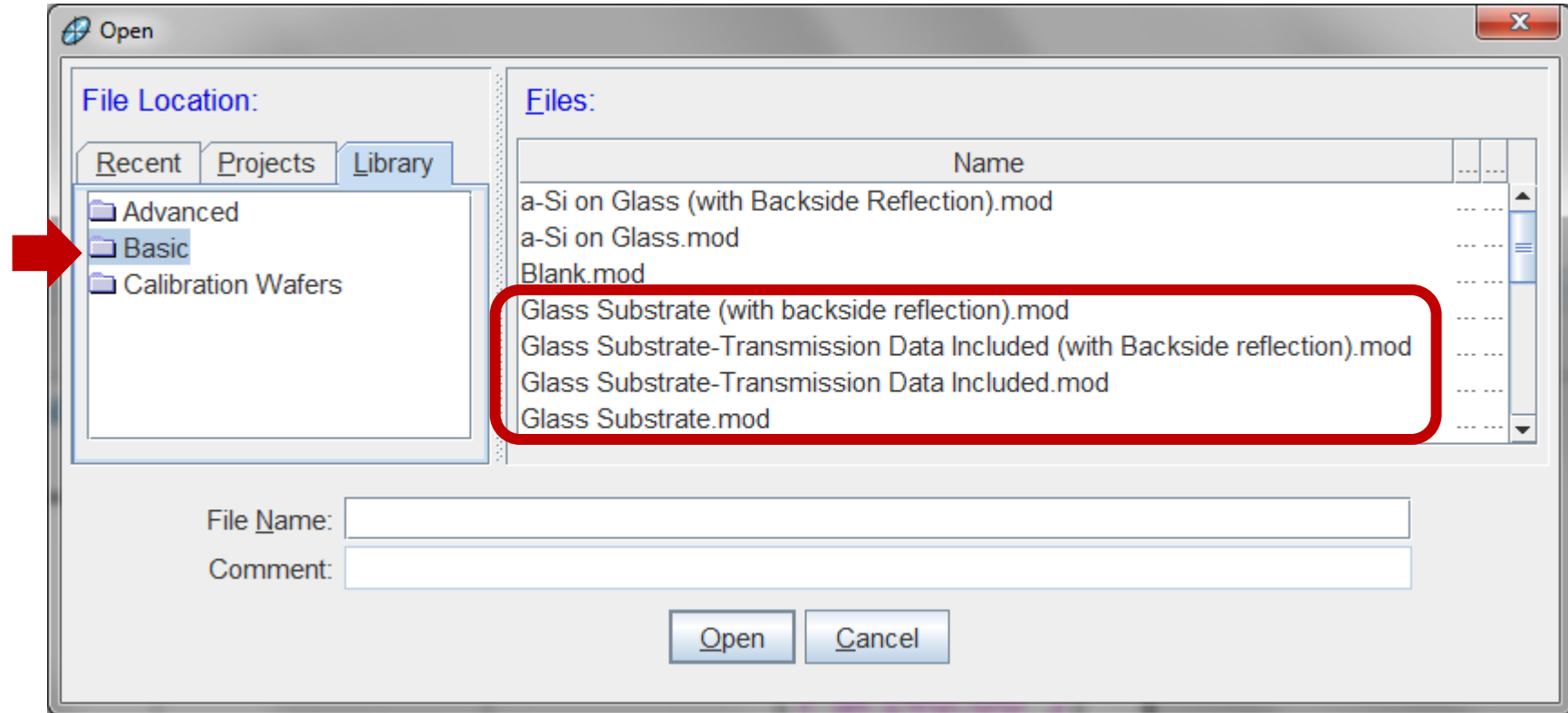
Save

Clear

Layer Commands: **Add Delete Save**

Include Surface Roughness = **OFF**

Substrate = **none**



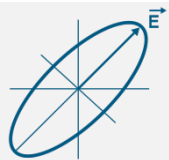
Roughness = **0.00 Å** (fit)

- Substrate = **Cauchy Substrate**

A = **1.500** (fit) B = **0.0000** (fit) C = **0.0000** (fit)

**+ Urbach Absorption Parameters**





# CE built-in Models: Substrate SE+T

## Glass substrate-Transmission data included.mod

Layer Commands: [Add](#) [Delete](#) [Save](#)

Include Surface Roughness = [ON](#) Roughness = [0.00 nm](#) (fit)

- Substrate = [B-Spline](#) Substrate Thickness = [1.0000 mm](#)
  - Resolution (eV) = [0.300](#) 20 Pts. (0.731-6.462 eV) [Draw Node Graph](#)
  - Fit Opt. Const. = [ON](#)
  - Use KK Mode = [OFF](#)
- + **Nodes**
- + **Advanced**

### - MODEL Options

Angle Offset = [0.00](#)

Include Substrate Backside Correction = [ON](#)

Transmission SE Data = [OFF](#) Reverse Direction = [OFF](#)

# Back Reflections = [0.00](#)

% 1st Reflection = [100.00](#)

Model Calculation = [Ideal](#)

### - FIT Options

Perform Thickness Pre-Fit = [OFF](#)

Use Global Fit = [OFF](#)

Fit Weight = [N.C.S](#)

Transmission Data % Weight = [100.00](#)

Limit Wvl. for Fit = [OFF](#)

Limit Angles for Fit = [OFF](#)

Max. Acceptable MSE = [100.000](#)

Note: this refers to #Back reflection in reflection SE data.

## Glass substrate-Transmission data included (with backside reflection).mod

Layer Commands: [Add](#) [Delete](#) [Save](#)

Include Surface Roughness = [ON](#) Roughness = [0.00 nm](#) (fit)

- Substrate = [B-Spline](#) Substrate Thickness = [1.0000 mm](#)
  - Resolution (eV) = [0.300](#) 20 Pts. (0.731-6.462 eV) [Draw Node Graph](#)
  - Fit Opt. Const. = [ON](#)
  - Use KK Mode = [OFF](#)
- + **Nodes**
- + **Advanced**

### - MODEL Options

Angle Offset = [0.00](#)

Include Substrate Backside Correction = [ON](#)

Transmission SE Data = [OFF](#) Reverse Direction = [OFF](#)

# Back Reflections = [5.000](#)

% 1st Reflection = [100.00](#)

Model Calculation = [Ideal](#)

### - FIT Options

Perform Thickness Pre-Fit = [OFF](#)

Use Global Fit = [OFF](#)

Fit Weight = [N.C.S](#)

Transmission Data % Weight = [100.00](#)

Limit Wvl. for Fit = [OFF](#)

Limit Angles for Fit = [OFF](#)

Max. Acceptable MSE = [100.000](#)

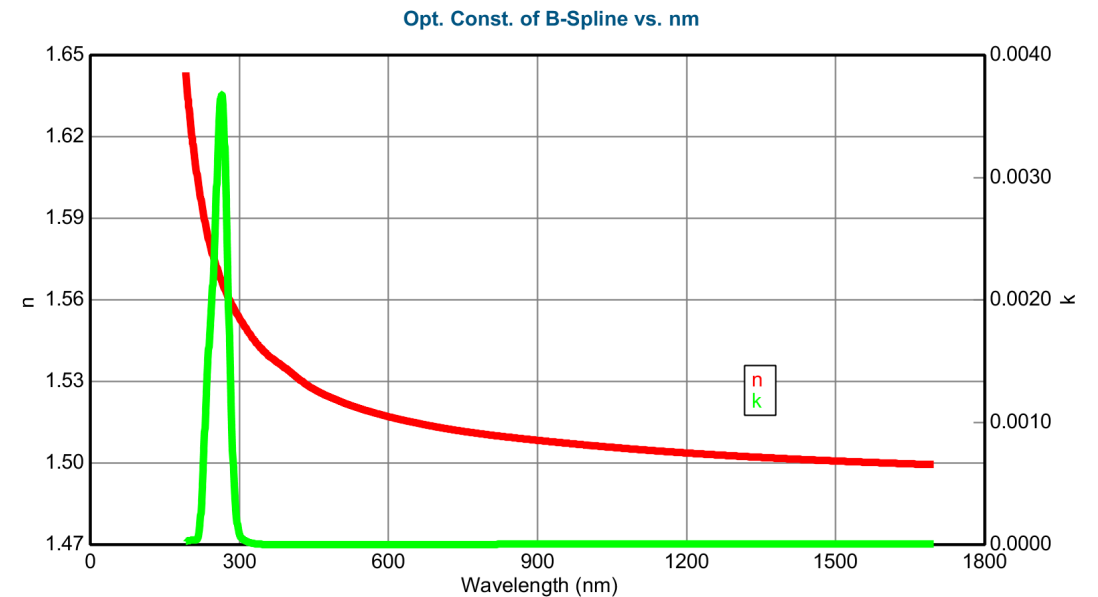
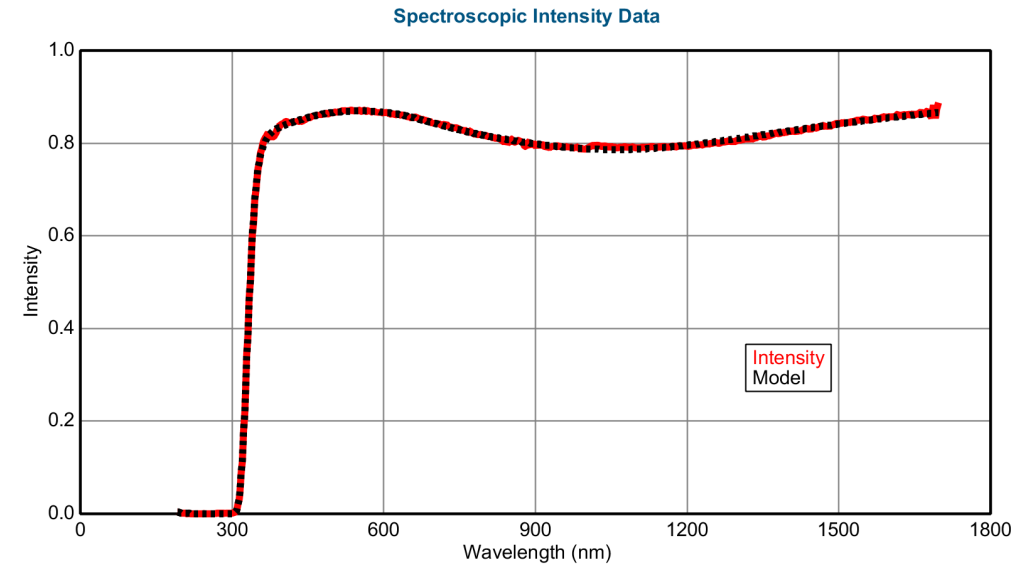
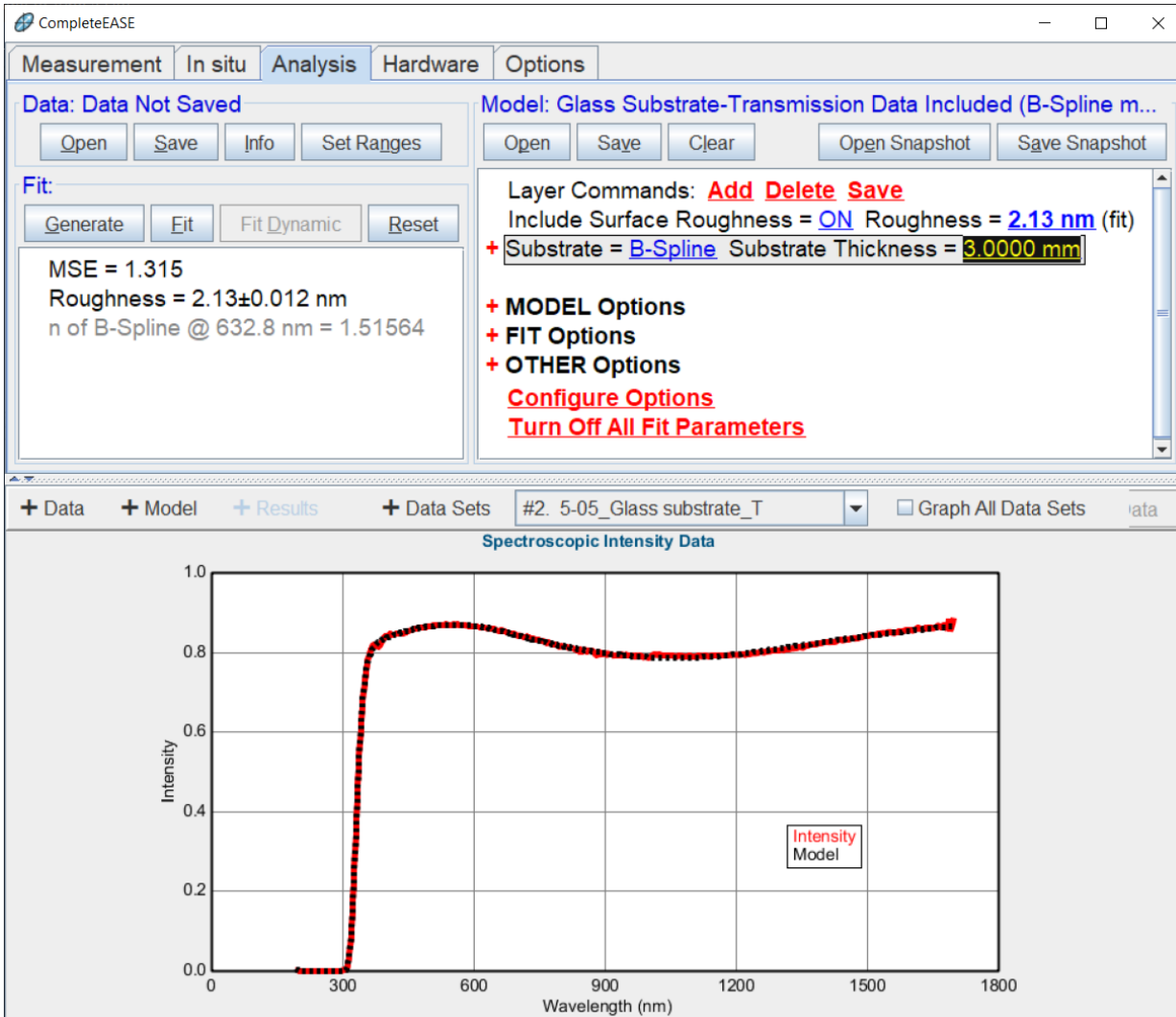
Tip: Save substrate nk for film analysis!

## 5-03 Glass Substrate (with Transmission)

- Use both data sets (SE + Transmission) to determine the substrate optical constants for this **3 mm** thick glass substrate



# GLASS SUBSTRATE: RESULTS



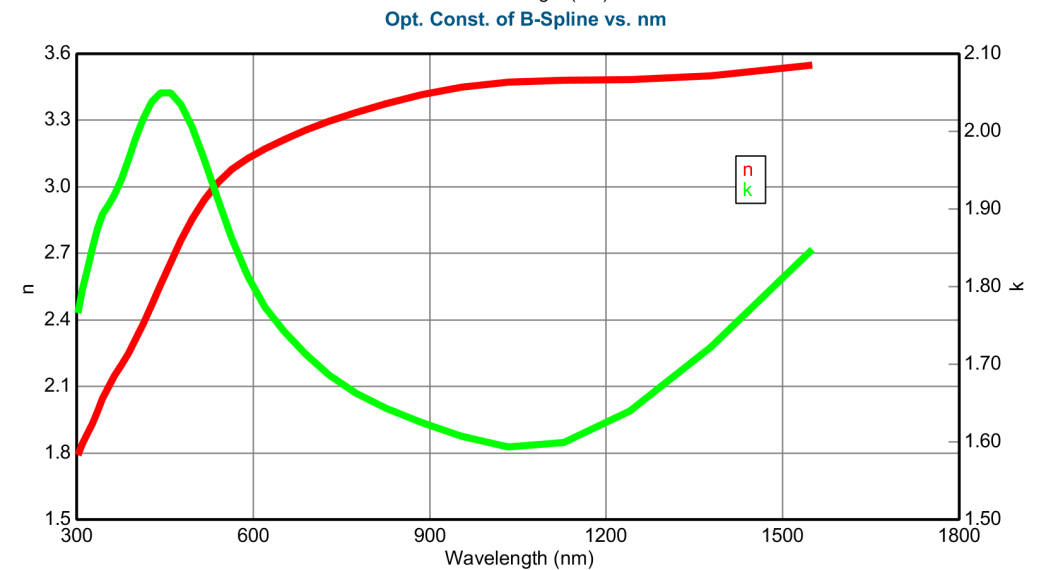
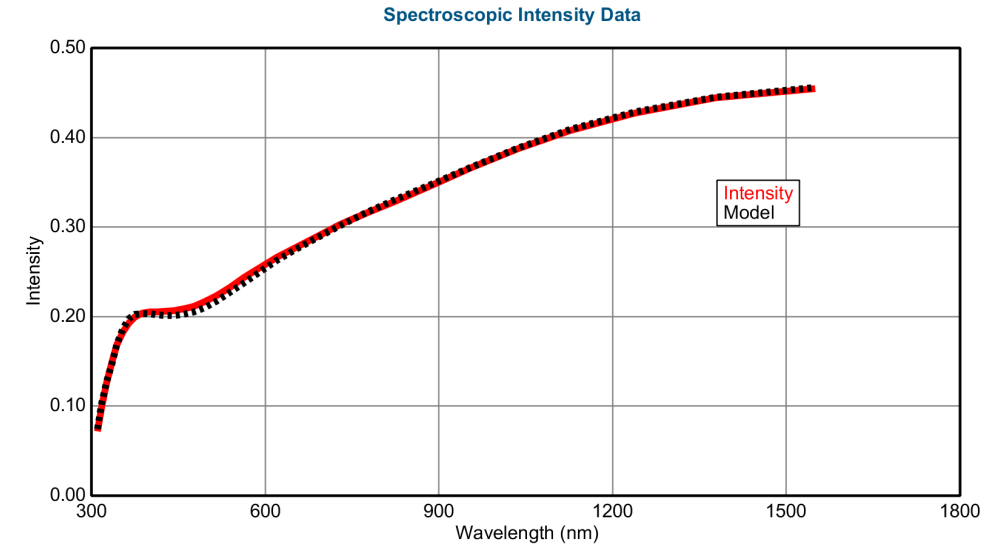
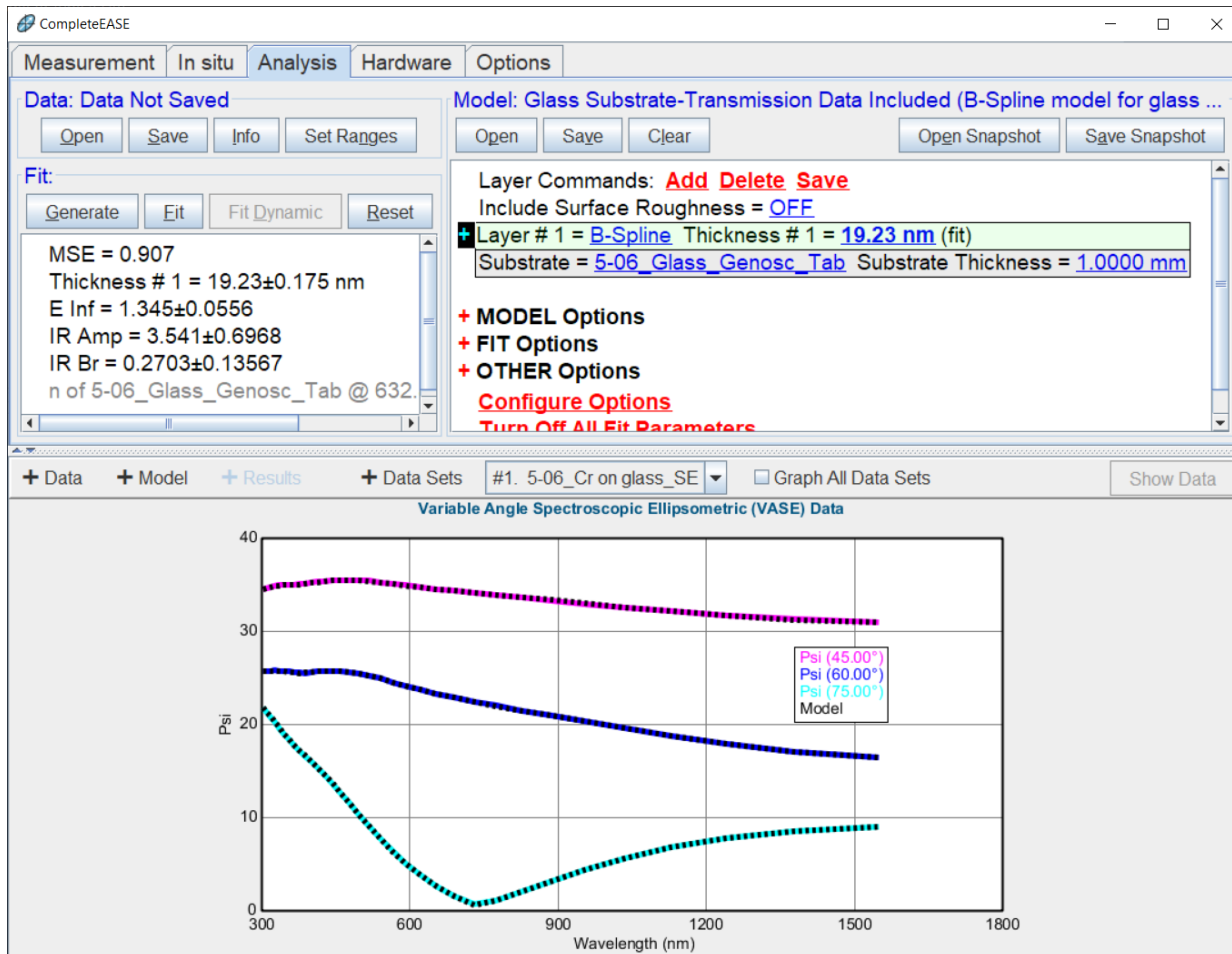
## 5-04 Cr on Glass Substrate (SE + T)

- Use both data sets (SE + Transmission) to determine the Cr optical constants for this thin layer on **1 mm** thick glass substrate ([use 5-04\\_Glass\\_Genosc\\_Tab.mat](#))

NOTE: This is not the same glass substrate as previous example



# CR ON GLASS: RESULTS



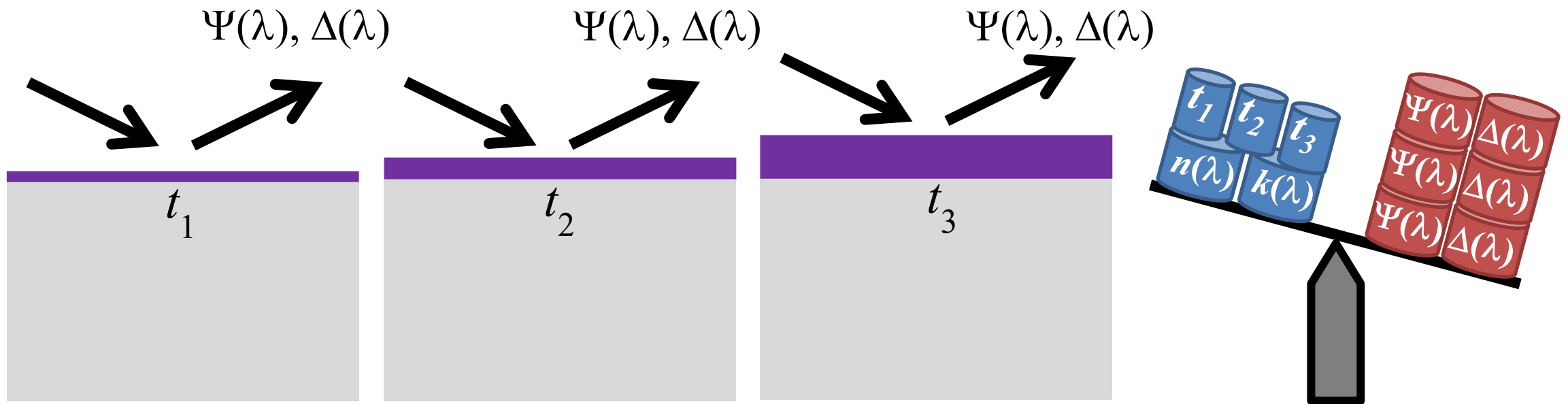


# MULTI-SAMPLE ANALYSIS



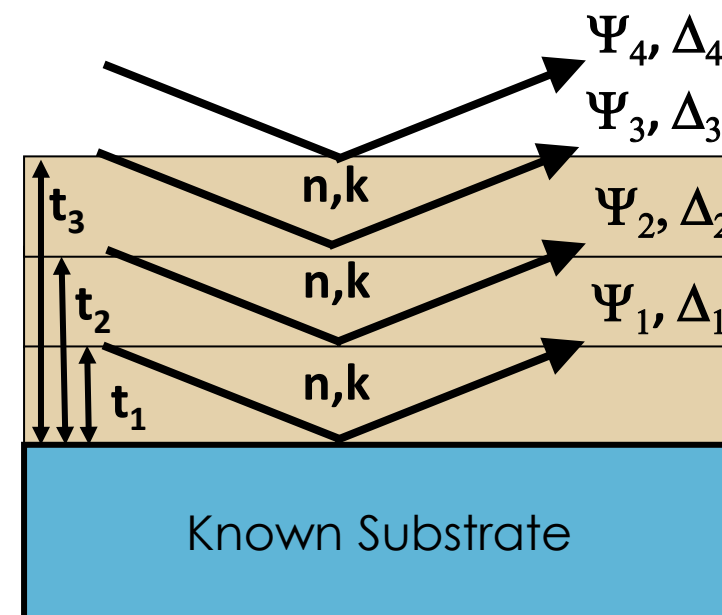
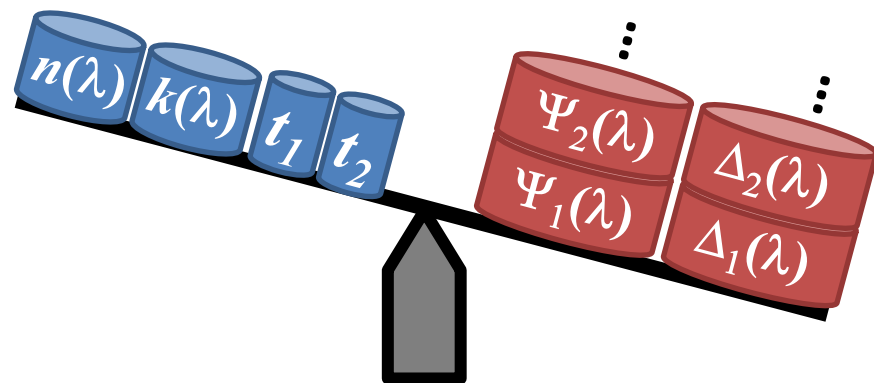
# STRATEGY #3: MULTI-SAMPLE ANALYSIS

- New information from multiple samples with same  $n, k$  but different thickness for new path length.





# Multi-Sample Analysis & In-Situ Analysis



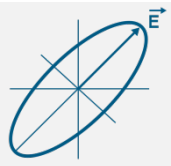
Method	Advantages	Disadvantages
<b>Multi-Sample and in-situ Analysis</b>	<ul style="list-style-type: none"> <li>More information about same material.</li> <li>Easy to achieve from map of non-uniform sample.</li> </ul>	<ul style="list-style-type: none"> <li>Requires consistent optical constants.</li> <li>In-situ requires ellipsometer integration into system.</li> </ul>

C.M. Herzinger et al. "Determination of AIAs optical constants by variable angle spectroscopic ellipsometry and a multisample analysis" *J. Appl. Phys.* 77 (9) 1995, p. 4677-4687.



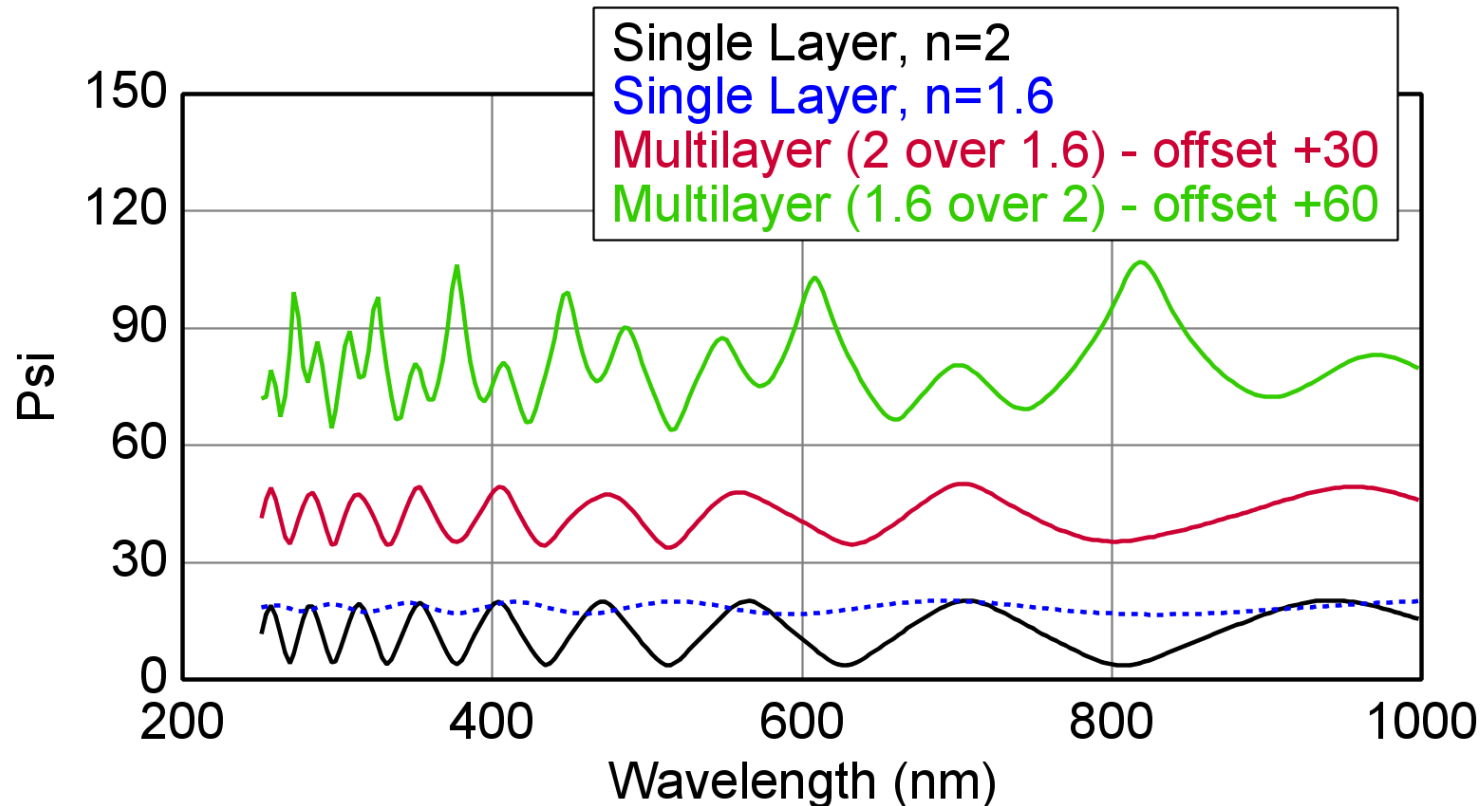


**MULTILAYERS**



# MULTI-LAYER COATINGS

- Interference pattern is a “mixture” of the oscillations from each layer – multiple envelopes.





# MULTILAYER (ABSORBING-TRANSPARENT)

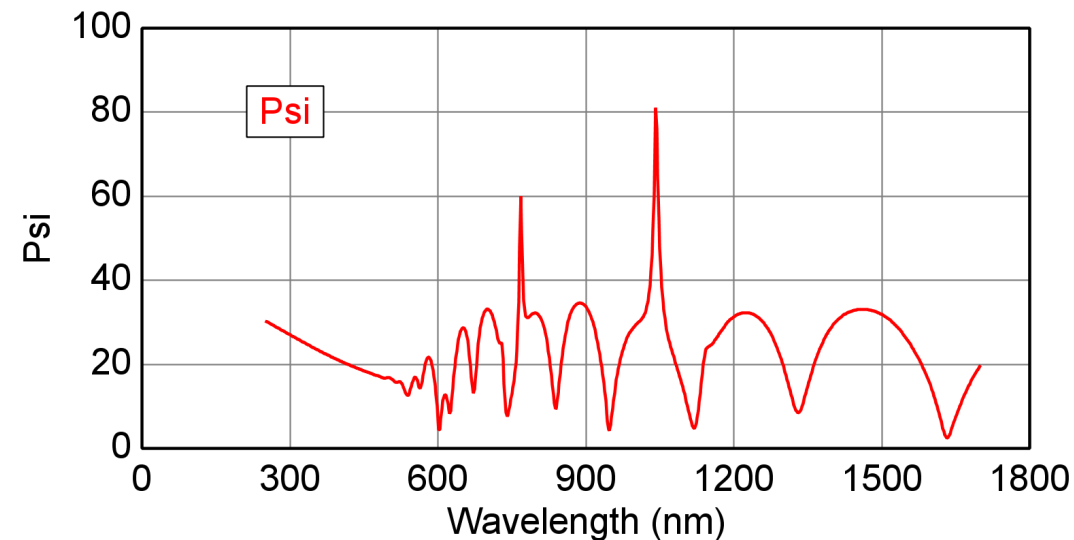
- If 2 layers, but 1 is absorbing, then the order changes shape.

Which is correct?

2 a-si	300 nm
1 siO <sub>2</sub>	3000 nm
0 si	1 mm

2 siO <sub>2</sub>	3000 nm
1 a-si	300 nm
0 si	1 mm

Multi-Layer Data

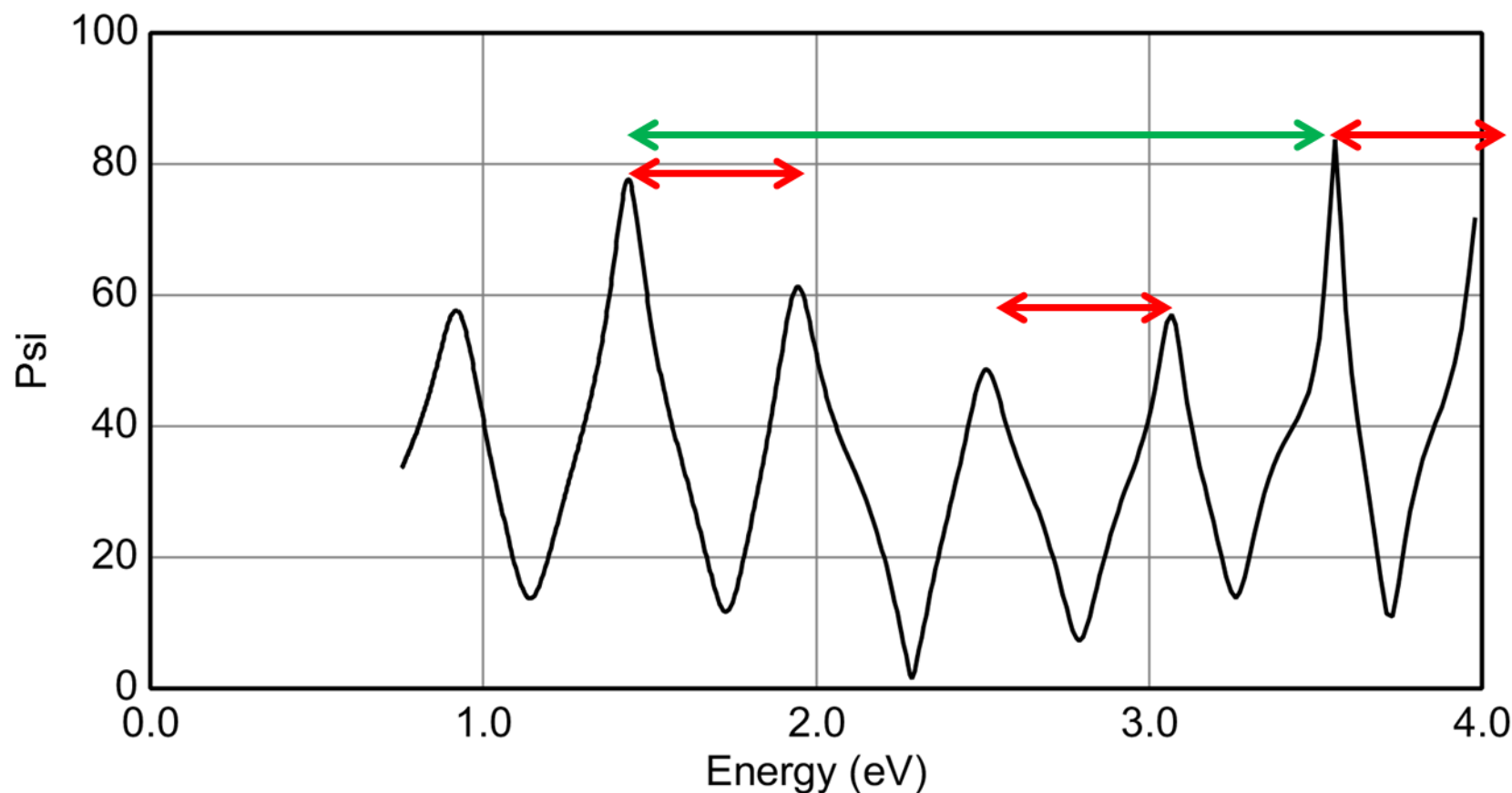


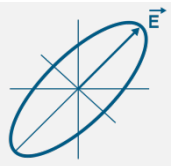


# MULTI-LAYER VERSUS EV

- The oscillation periods will be similar to single-layers but with varying heights depending on where the light returned.

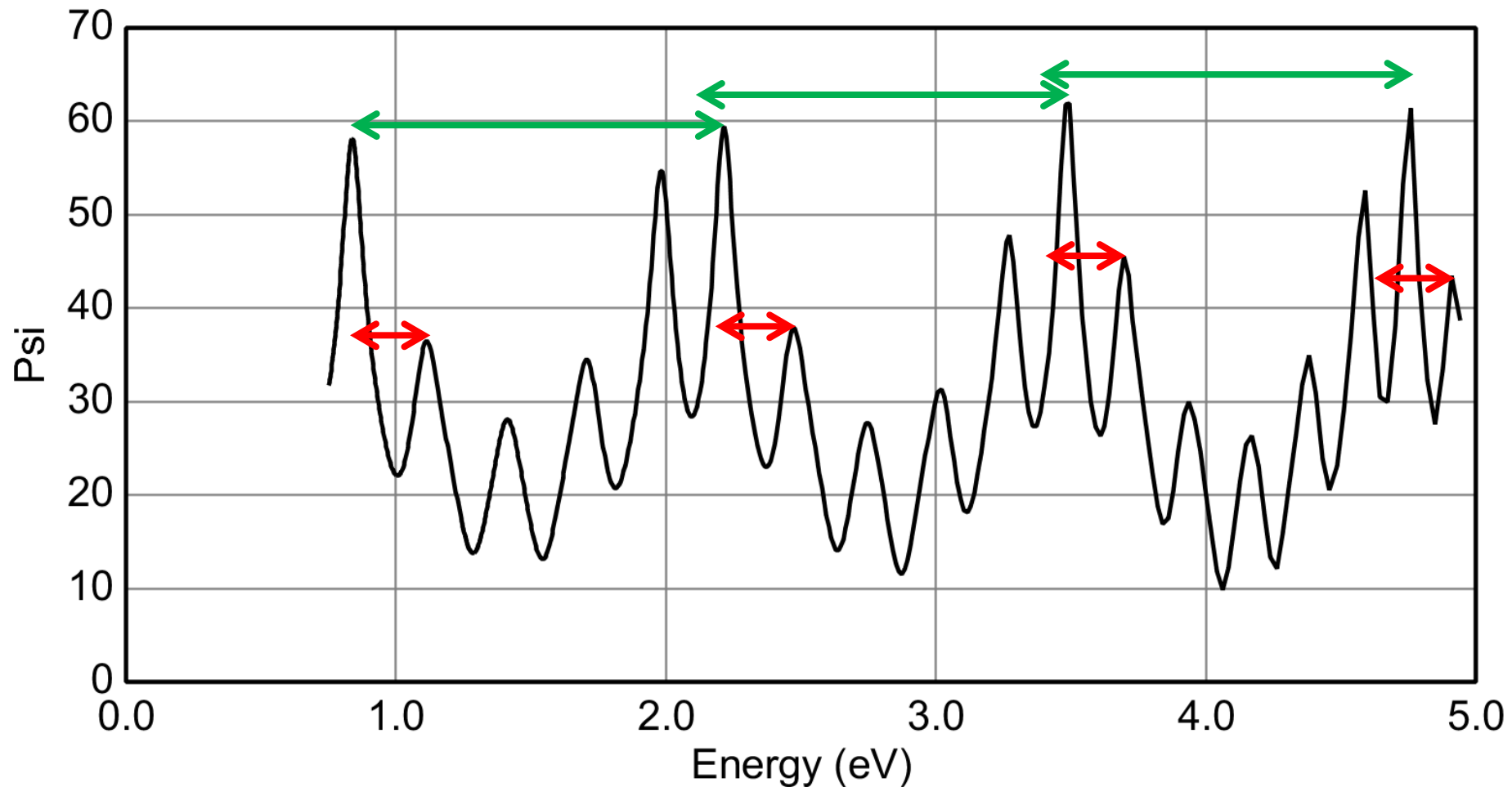
200nm SiO<sub>2</sub> / 500nm Si<sub>3</sub>N<sub>4</sub> / Si





# MULTI-LAYERS WITH THICKER FILMS

- Easily identify two separate oscillation frequencies  
400nm SiO<sub>2</sub> / 1000nm Si<sub>3</sub>N<sub>4</sub> / Si

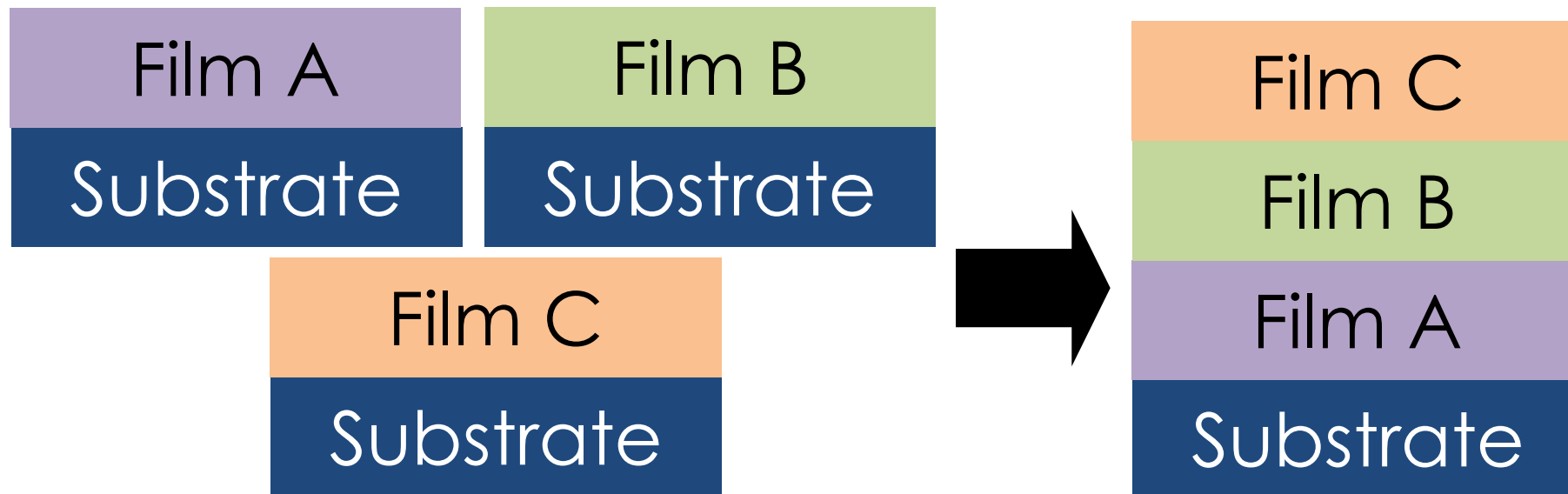




# MULTI-LAYER STRATEGIES

## ■ Preferred Method #1

- Measure  $n$  &  $k$  from single-layers: use dispersion models.
- Fit thickness only for multilayer stack.
- If poor fit, add dispersion parameters for most variable film.

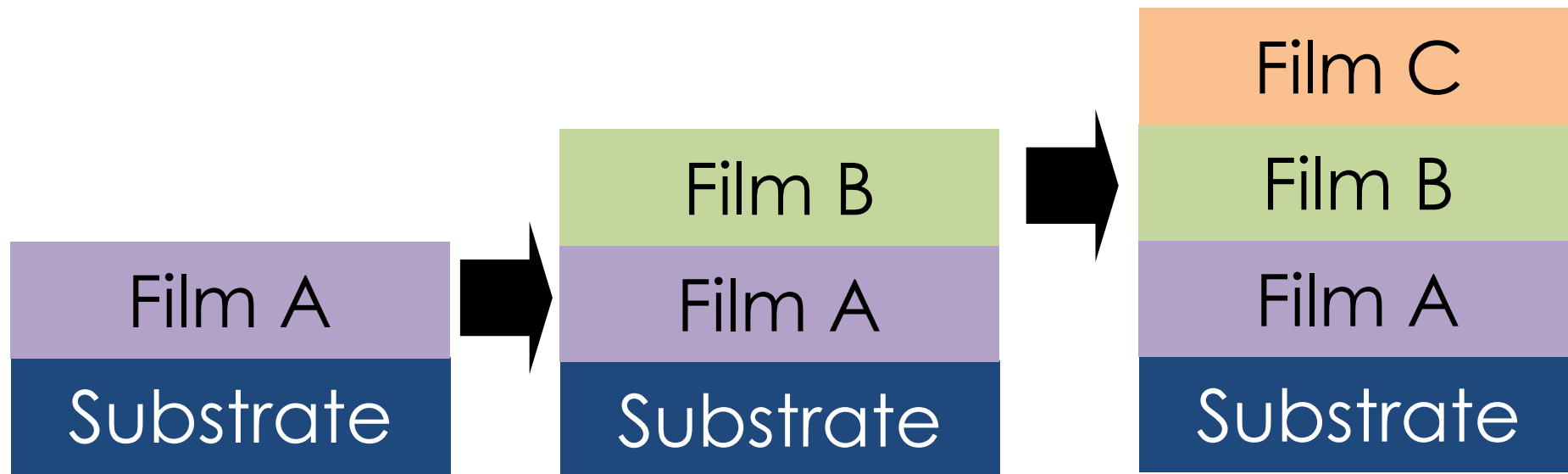




# MULTI-LAYER STRATEGIES

## ■ Preferred Method #2

- Measure  $n$  &  $k$  for each “new” layer with previous layers fixed: use dispersion models.
- If poor fit, add thickness and then dispersion parameters for previous layers.





# SUPERLATTICE FOR REPEATING STRUCTURES

Layer Commands: **Add Delete Save**

Include Surface Roughness = **OFF**

Layer # 2 = **SiO2** Thickness # 2 = **0.00 nm**

Layer # 1 = **Nb2O5 2** Thickness # 1 = **0.00 nm**

+ Substrate =

- Graph Layer Optical Constants
- Graph Layer Absorption Coefficient
- Rename Layer and Fit Parameters
- Save Layer Optical Constants
- Parameterize Layer
- View Layer Comment
- Convert To EMA
- Convert To Anisotropic
- Grade Layer
- Start Superlattice**
- Delete Layer

+ **MODEL Op**

+ **FIT Options**

+ **OTHER Op**

**Configure C**

Include Surface Roughness = **OFF**

Layer # 2 = **SiO2** Thickness # 2 = **0.00 nm**

(SL Start) Layer # 1 = **Nb2O5 2** Thickness # 1 = **0.00 nm**

+ Substrate =

- Graph Layer Optical Constants
- Graph Layer Absorption Coefficient
- Rename Layer and Fit Parameters
- Save Layer Optical Constants
- Parameterize Layer
- View Layer Comment
- Convert To EMA
- Convert To Anisotropic
- Grade Layer
- End Superlattice**
- Delete Layer

+ **MODEL Op**

+ **FIT Options**

+ **OTHER Op**

**Configure C**

(SL End) Layer # 2 = **SiO2** Thickness # 2 = **0.00 nm** **SL Count = 5**

(SL Start) Layer # 1 = **Nb2O5 2** Thickness # 1 = **0.00 nm**

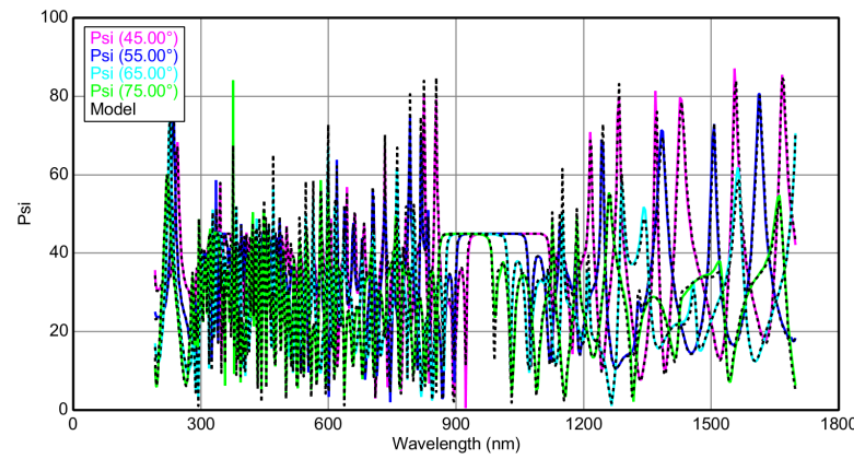
+ Substrate = **7059 Glass (Cauchy)**



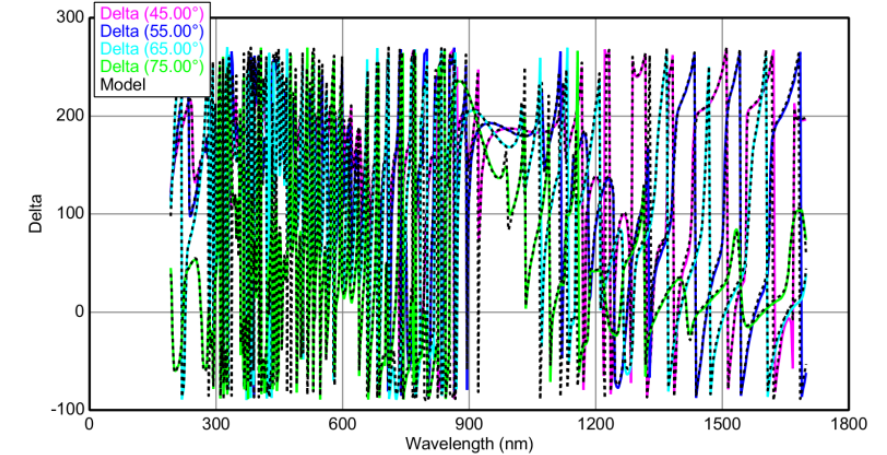


# EXAMPLE: HIGH REFLECTION IR MIRROR

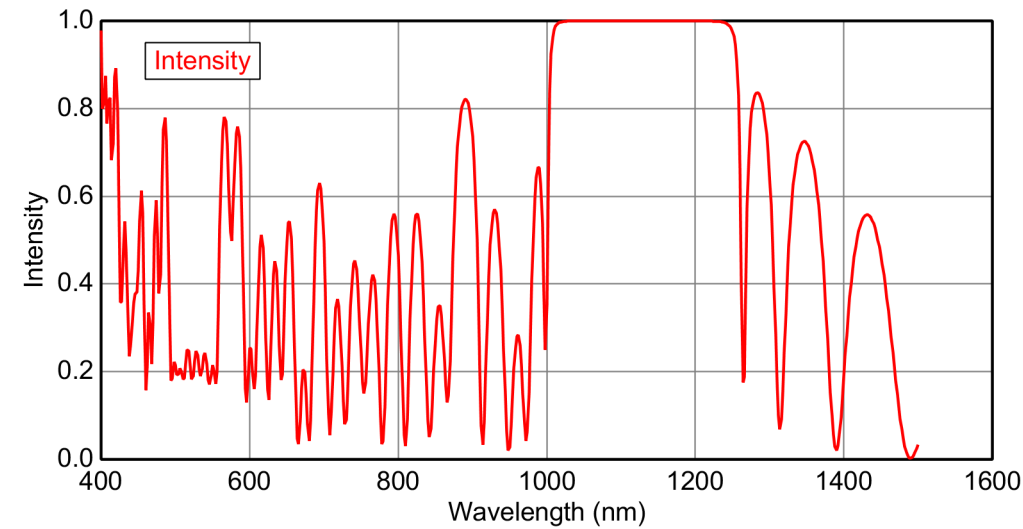
Variable Angle Spectroscopic Ellipsometric (VASE) Data



Variable Angle Spectroscopic Ellipsometric (VASE) Data

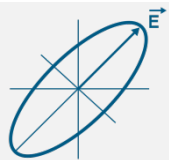


Spectroscopic Intensity Data



-	Layer # 37 = Coupled	Thickness # 37 = 2178.27 Å (Coupled)
	Coupled to Layer # = 1 (Low-N)	
-	Layer # 36 = Coupled	Thickness # 36 = 1123.46 Å (Coupled)
	Coupled to Layer # = 2 (High-N)	
+	Layer # 35 = Coupled	Thickness # 35 = 2173.29 Å (Coupled)
+	Layer # 34 = Coupled	Thickness # 34 = 1057.20 Å (Coupled)
+	Layer # 33 = Coupled	Thickness # 33 = 2180.22 Å (Coupled)
+	Layer # 32 = Coupled	Thickness # 32 = 1331.27 Å (Coupled)
+	Layer # 31 = Coupled	Thickness # 31 = 2177.20 Å (Coupled)
+	Layer # 30 = Coupled	Thickness # 30 = 1052.14 Å (Coupled)
+	Layer # 29 = Coupled	Thickness # 29 = 2174.35 Å (Coupled)
+	Layer # 28 = Coupled	Thickness # 28 = 1356.36 Å (Coupled)
+	Layer # 27 = Coupled	Thickness # 27 = 1912.56 Å (Coupled)
+	Layer # 26 = Coupled	Thickness # 26 = 1172.64 Å (Coupled)
+	Layer # 25 = Coupled	Thickness # 25 = 2022.40 Å (Coupled)
+	Layer # 24 = Coupled	Thickness # 24 = 1130.44 Å (Coupled)
+	Layer # 23 = Coupled	Thickness # 23 = 2115.53 Å (Coupled)
+	Layer # 22 = Coupled	Thickness # 22 = 1299.21 Å (Coupled)
+	Layer # 21 = Coupled	Thickness # 21 = 2174.35 Å (Coupled)
+	Layer # 20 = Coupled	Thickness # 20 = 1175.68 Å (Coupled)
+	Layer # 19 = Coupled	Thickness # 19 = 2171.33 Å (Coupled)
+	Layer # 18 = Coupled	Thickness # 18 = 1189.74 Å (Coupled)
+	Layer # 17 = Coupled	Thickness # 17 = 1672.44 Å (Coupled)
+	Layer # 16 = Coupled	Thickness # 16 = 1319.23 Å (Coupled)
+	Layer # 15 = Coupled	Thickness # 15 = 2123.35 Å (Coupled)
+	Layer # 14 = Coupled	Thickness # 14 = 1298.20 Å (Coupled)
+	Layer # 13 = Coupled	Thickness # 13 = 2073.40 Å (Coupled)
+	Layer # 12 = Coupled	Thickness # 12 = 1242.93 Å (Coupled)
+	Layer # 11 = Coupled	Thickness # 11 = 1717.59 Å (Coupled)
+	Layer # 10 = Coupled	Thickness # 10 = 1333.30 Å (Coupled)
+	Layer # 9 = Coupled	Thickness # 9 = 1841.11 Å (Coupled)
+	Layer # 8 = Coupled	Thickness # 8 = 1155.58 Å (Coupled)
+	Layer # 7 = Coupled	Thickness # 7 = 2052.79 Å (Coupled)
+	Layer # 6 = Coupled	Thickness # 6 = 1341.28 Å (Coupled)
-	Layer # 5 = Coupled	Thickness # 5 = 2060.61 Å (Coupled)
	Coupled to Layer # = 1 (Low-N)	
-	Layer # 4 = Coupled	Thickness # 4 = 1260.06 Å (Coupled)
	Coupled to Layer # = 2 (High-N)	
-	Layer # 3 = Coupled	Thickness # 3 = 2172.40 Å (Coupled)
	Coupled to Layer # = 1 (Low-N)	
+	Layer # 2 = High-N	Thickness # 2 = 1267.03 Å (fit)
+	Layer # 1 = Low-N	Thickness # 1 = 1777.31 Å (fit)
	Substrate = SL_JAW	

J.N. Hilfiker, et al. "Spectroscopic ellipsometry characterization of multilayer optical coatings" Surface & Coatings Technology 357 (2019) 114-121.



# CUSTOMIZED GLOBAL FIT

Use Global Fit = ON

Parameters: Add Delete All

x Param. #1 = Thickness # 1

Min. = 0.00 nm Max. = 1000.00 nm # Guesses = 50

x Param. #2 = A

Min. = 1.200 Max. = 4.500 # Guesses = 0

- Customize Global Fit

# of Data Points = 20 # of Iterations = 5

Random Search = OFF

MSE Threshold = OFF

Include Wvl. Range Expansion Fits = OFF

- Customize Global Fit

# of Data Points = 20 # of Iterations = 5

Random Search = ON

MSE Threshold = ON MSE Threshold Value = 25.0

Include Wvl. Range Expansion Fits = OFF

## # of Data Points

Reduce selected wavelengths for initial search

## # of Iterations

Fit steps performed at each parameter combo.

## Random Search

Jump through each parameter combo in random order with no repeats.

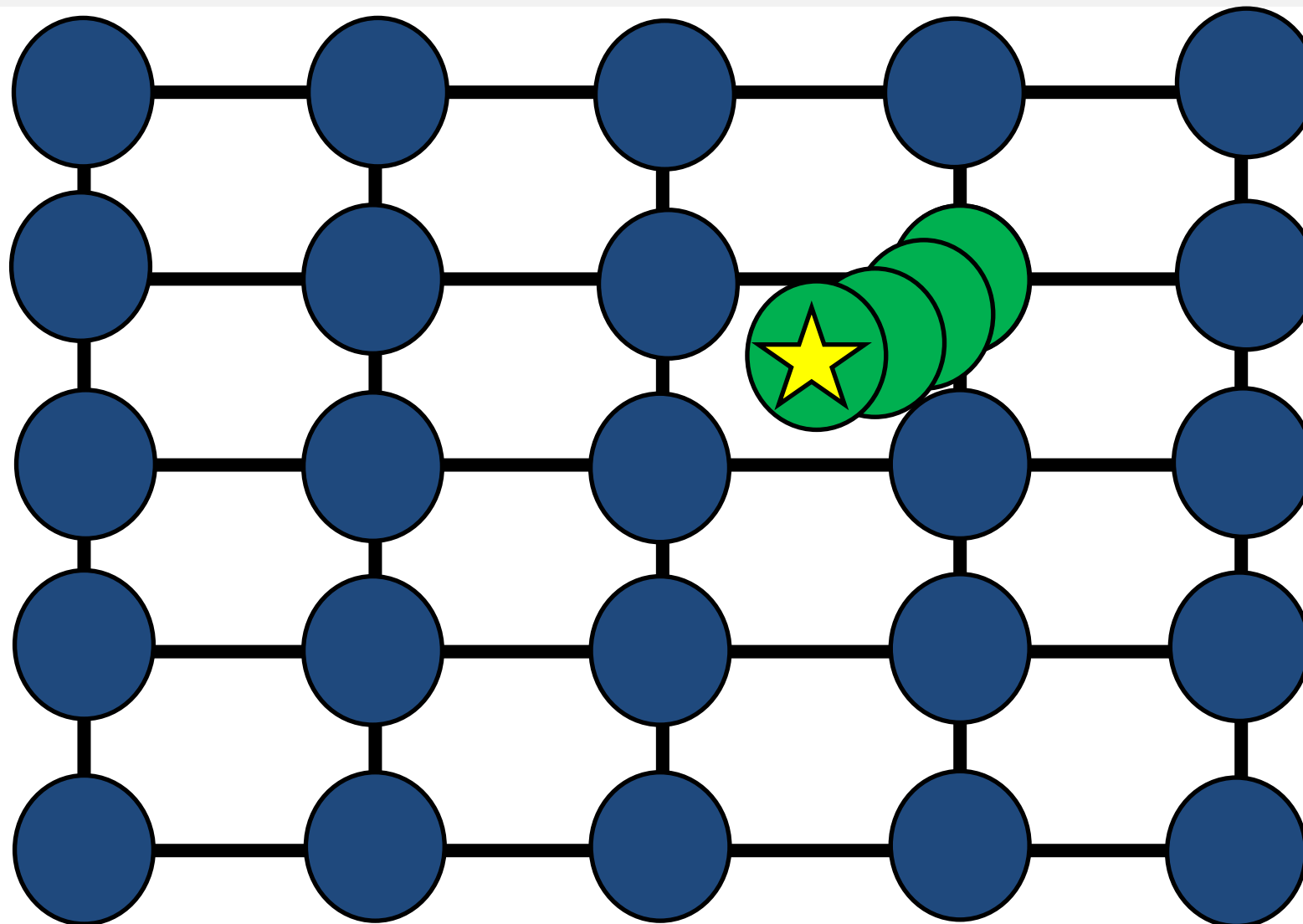
## MSE Threshold

Stop Global Fit step if MSE is below this value



# GLOBAL FIT

Parameter #2

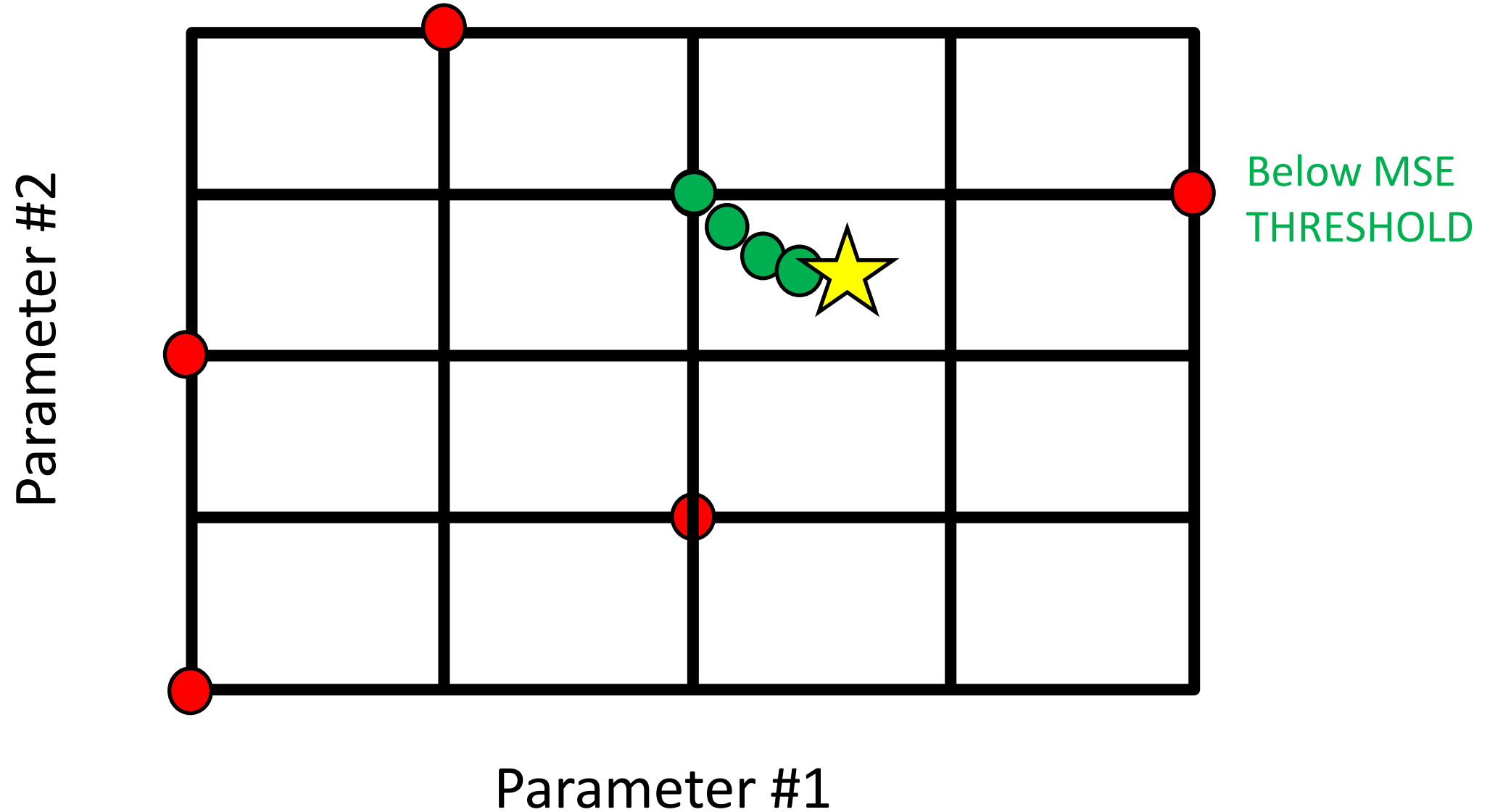


LOWEST  
MSE

Parameter #1

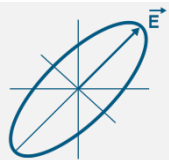


# RANDOM GLOBAL FIT



## 5-05 Silicon on Insulator (SOI)

- Using tabulated optical constants from the library, find the thickness of the silicon and oxide layers.



# 5-05: SOI #1 RESULTS



Layer Commands: **Add Delete Save**

Include Surface Roughness = **OFF**

Layer # 3 = **NTVE\_JAW** Thickness # 3 = **2.24 nm** (fit)

Layer # 2 = **Si\_JAW** Thickness # 2 = **1965.95 nm** (fit)

Layer # 1 = **SiO2\_JAW** Thickness # 1 = **493.17 nm** (fit)

Substrate = **Si\_JAW**

## - MODEL Options

Angle Offset = **0.00**

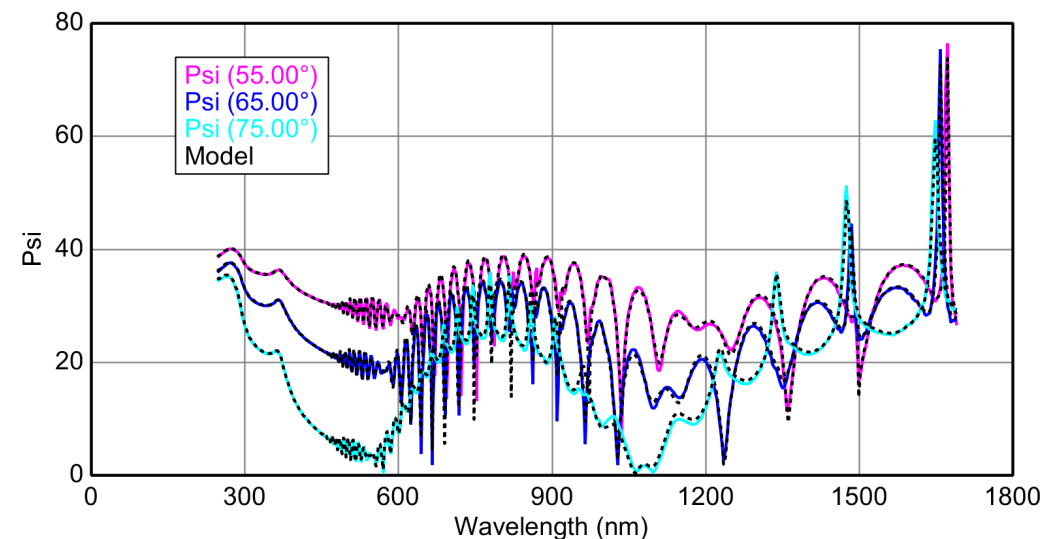
Include Substrate Backside Correction = **OFF**

Model Calculation = **Include Thickness Non-uniformity and Bandwidth(nm)**

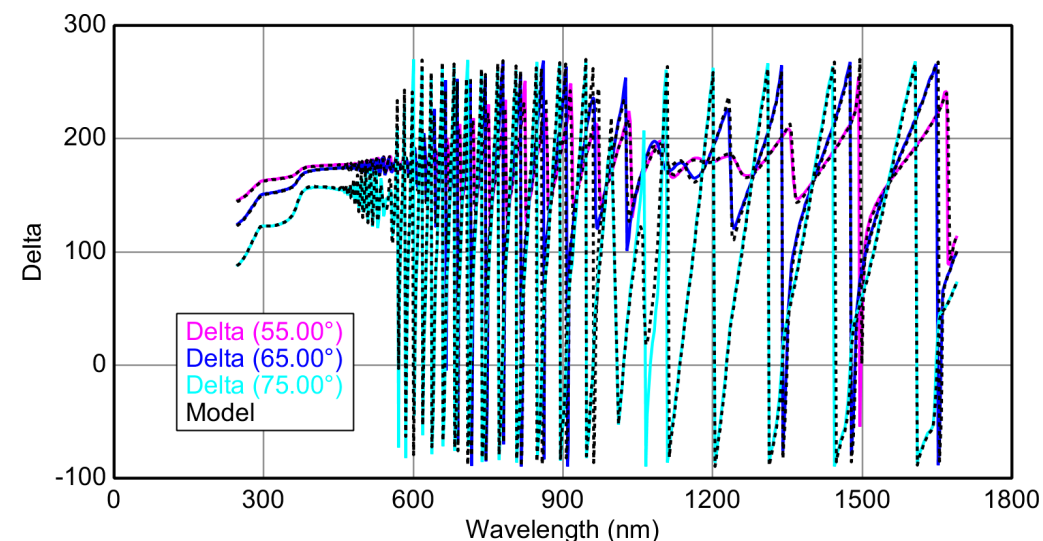
% Thickness Non-uniformity = **0.50** (fit) Bandwidth (nm) = **4.101** (fit)

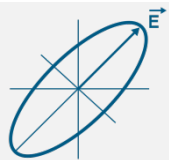
# of Pts = **9**

Variable Angle Spectroscopic Ellipsometric (VASE) Data



Variable Angle Spectroscopic Ellipsometric (VASE) Data





# 5-05: SOI #2 RESULTS



Layer Commands: **Add Delete Save**

Include Surface Roughness = **OFF**

Layer # 3 = **NTVE\_JAW** Thickness # 3 = **1.24 nm**

Layer # 2 = **Si\_JAW** Thickness # 2 = **221.13 nm** (fit)

Layer # 1 = **SiO2\_JAW** Thickness # 1 = **2007.12 nm** (fit)

Substrate = **Si\_JAW**

## - MODEL Options

Angle Offset = **0.00**

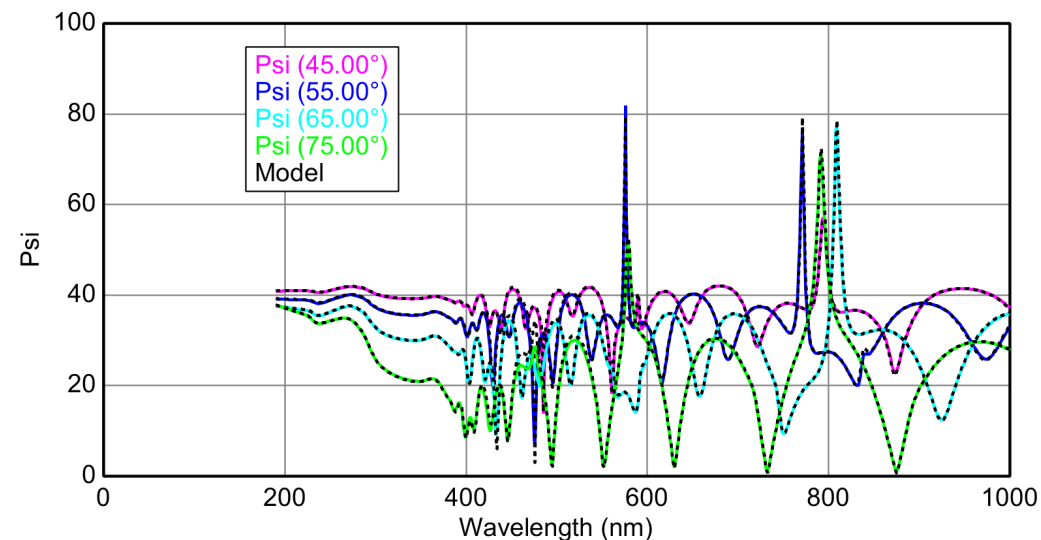
Include Substrate Backside Correction = **OFF**

Model Calculation = **Include Bandwidth (nm)**

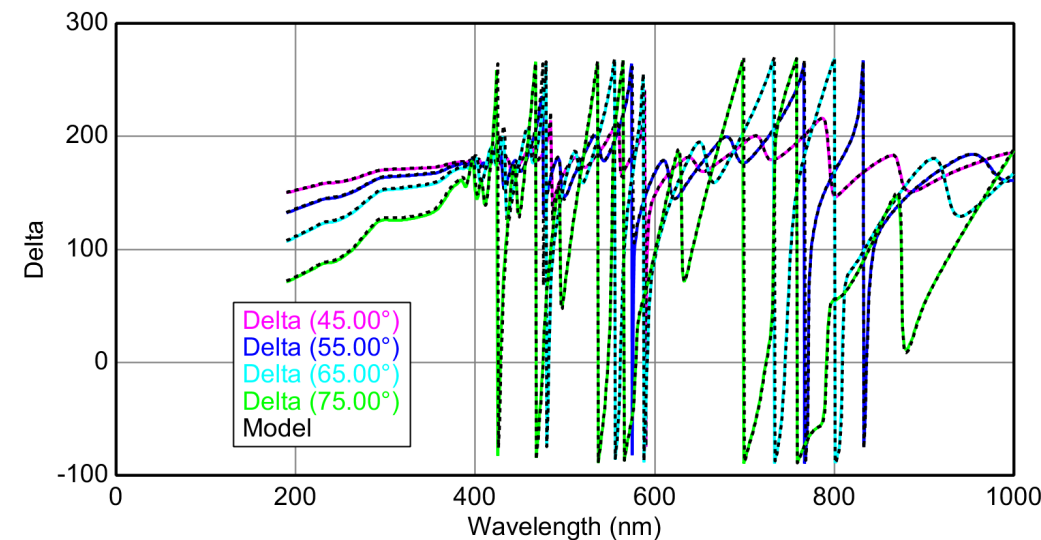
Bandwidth (nm) = **3.152** (fit)

# of Pts = **9**

Variable Angle Spectroscopic Ellipsometric (VASE) Data



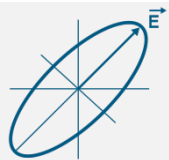
Variable Angle Spectroscopic Ellipsometric (VASE) Data



## 5-06 4-layer Optical Stack on Si

- This 4-layer stack is made up of SiO<sub>2</sub> and Ta<sub>2</sub>O<sub>5</sub>, which have already been measured for you (material files provided in Session 5 directory).
- 
- Which layer is on the top?
  - Fit using Superlattice Approach and then compare to 4-layers fit separately.





# 5-06: 4-LAYER MULTILAYER RESULTS



Layer Commands: **Add Delete Save**

Include Surface Roughness = **OFF**

+ Layer # 4 = <a href="#">Ta2O5 Session5</a> Thickness # 4 = <b>404.84 nm</b> (fit)
+ Layer # 3 = <a href="#">SiO2 Session5</a> Thickness # 3 = <b>597.83 nm</b> (fit)
+ Layer # 2 = <a href="#">Ta2O5 Session5</a> Thickness # 2 = <b>404.54 nm</b> (fit)
+ Layer # 1 = <a href="#">SiO2 Session5</a> Thickness # 1 = <b>600.02 nm</b> (fit)
Substrate = <a href="#">Si JAW</a>

## - MODEL Options

Angle Offset = **0.00**

Include Substrate Backside Correction = **OFF**

Model Calculation = **Include Bandwidth (nm)**

Bandwidth (nm) = **4.552** (fit)

# of Pts = **9**

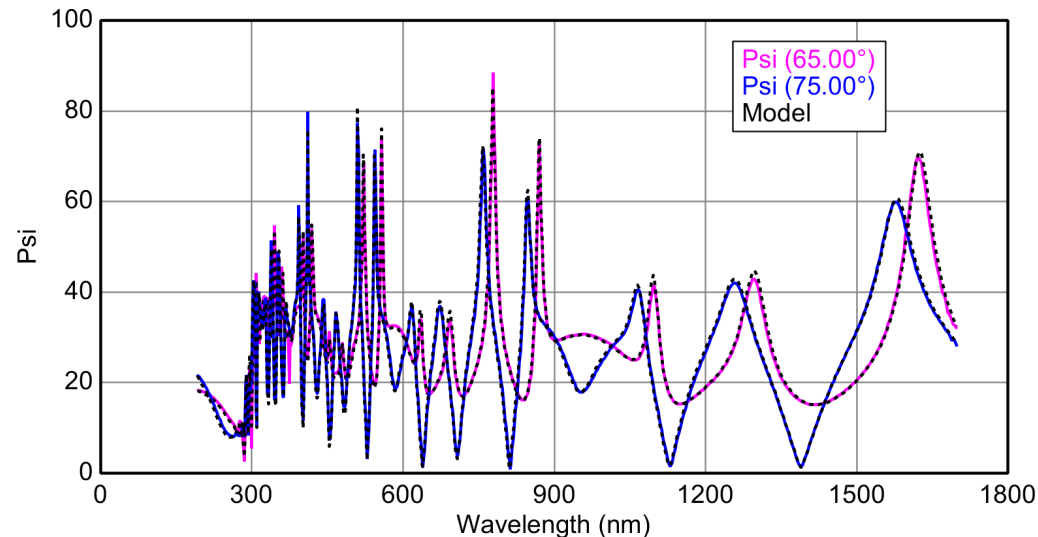
## + FIT Options

## + OTHER Options

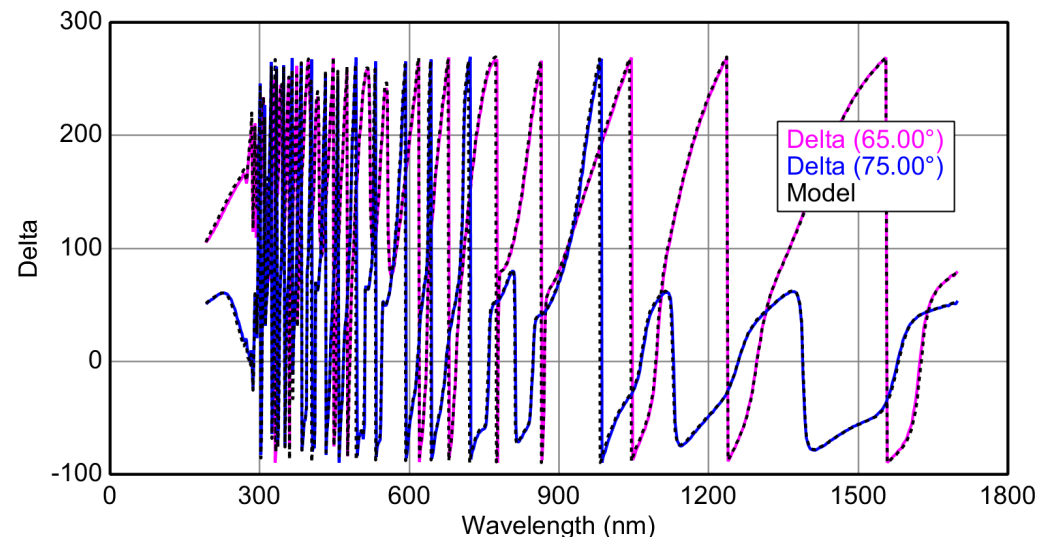
**Configure Options**

**Turn Off All Fit Parameters**

Variable Angle Spectroscopic Ellipsometric (VASE) Data



Variable Angle Spectroscopic Ellipsometric (VASE) Data



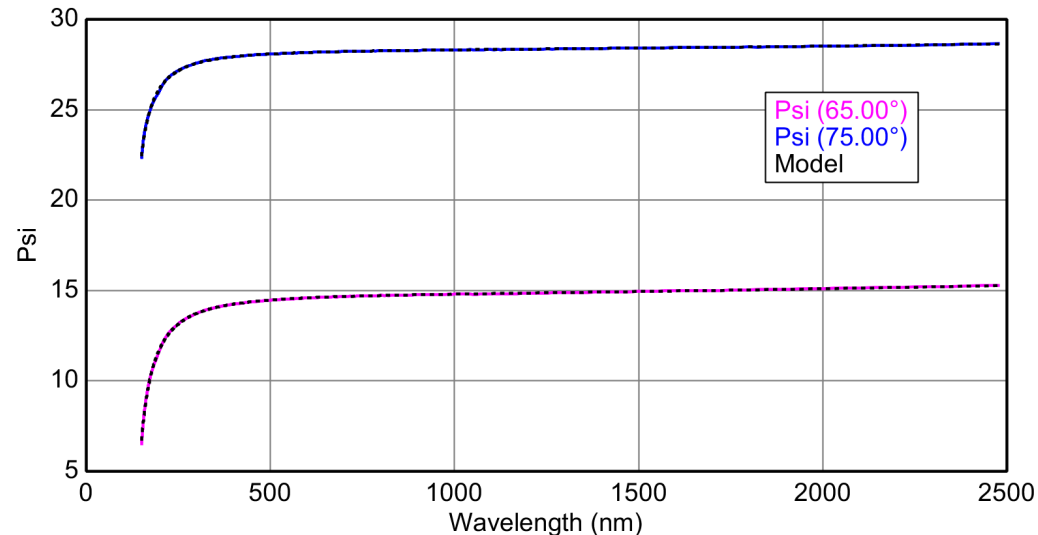




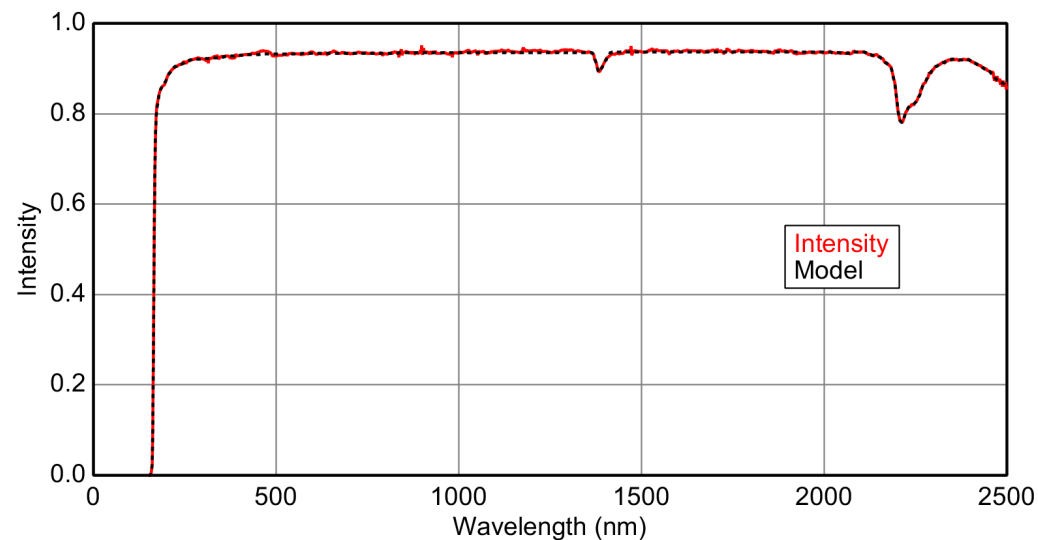
# 5-07: Fused Silica Substrate Results



Variable Angle Spectroscopic Ellipsometric (VASE) Data

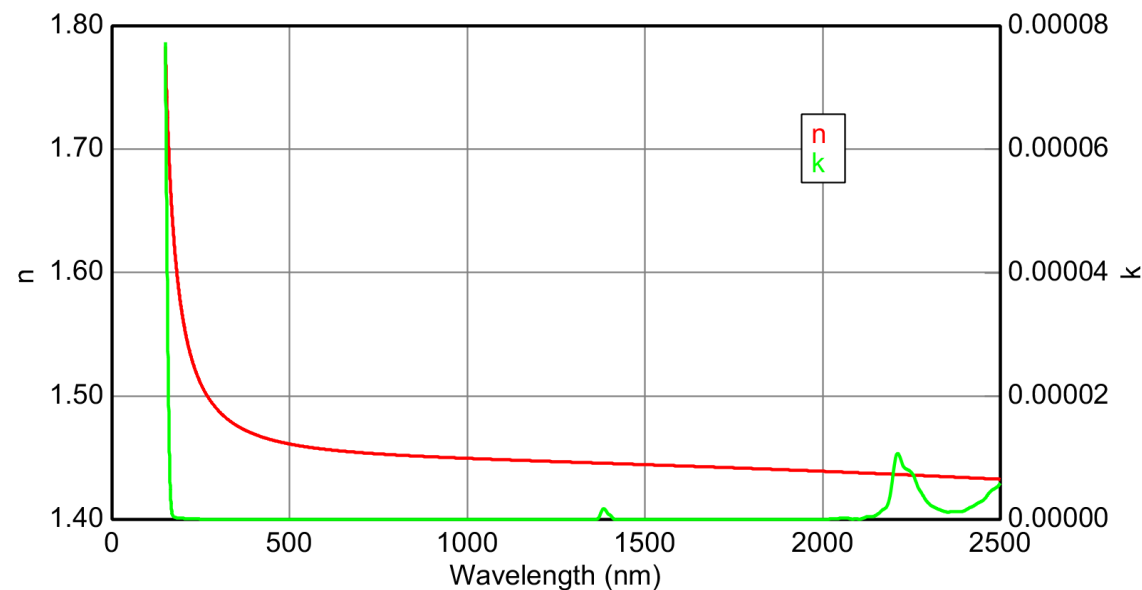


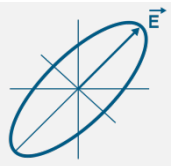
Spectroscopic Intensity Data



- Substrate = [Hybrid](#) Substrate Thickness = [3.0000 mm](#)  
Optical Constants = [e1 & e2](#)
  - + e1 Function = [Gen-Osc](#)
  - + e2 Function = [B-Spline](#)

Opt. Const. of Hybrid vs. nm





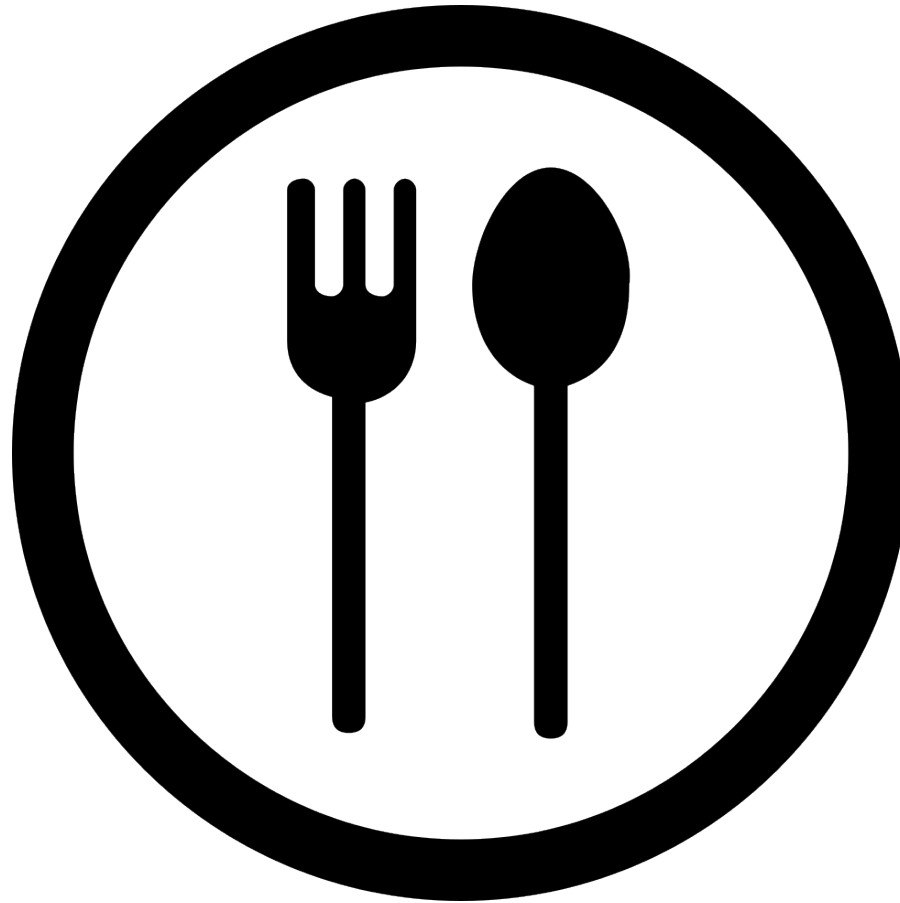
## SESSION 5 OUTLINE

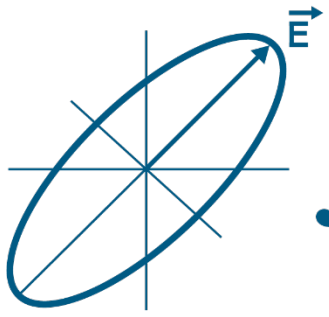
- Thin Absorbing Film Methods
  - Interference Enhancement
  - SE + Transmission Intensity
- Multilayers





# LUNCH TIME





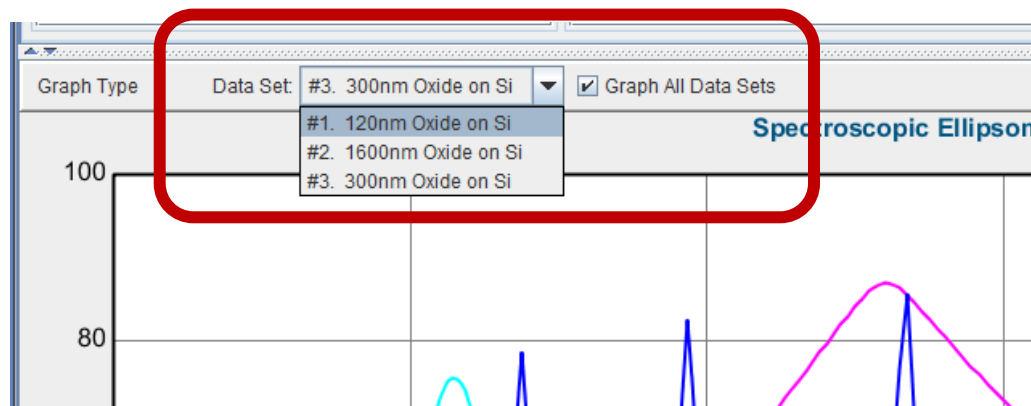
J.A. Woollam

Additional Slides beyond this Point

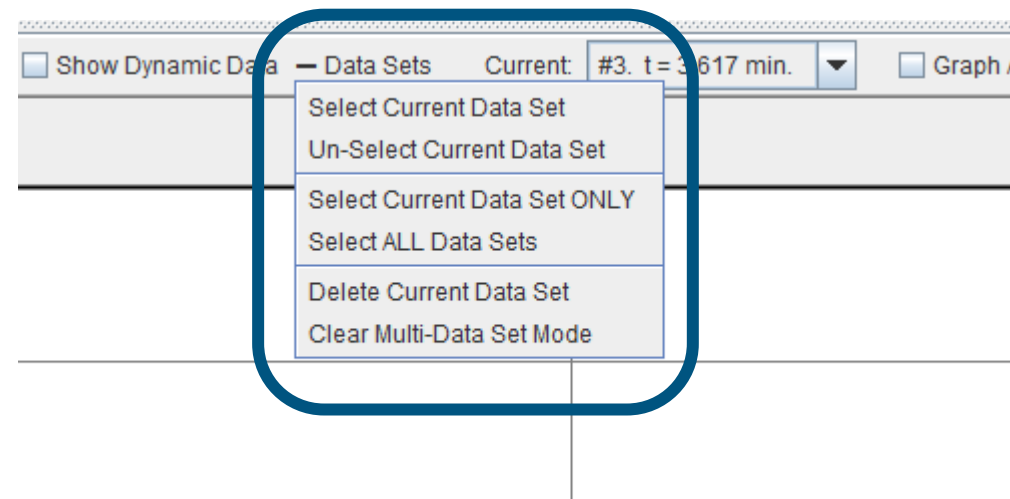


# MULTI-DATA SETS IN CE

## ■ Graphing and Handling

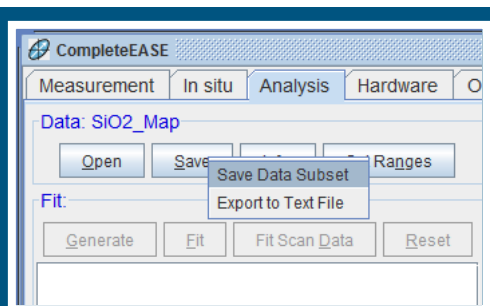


### Drop Down Menu for Data Sets

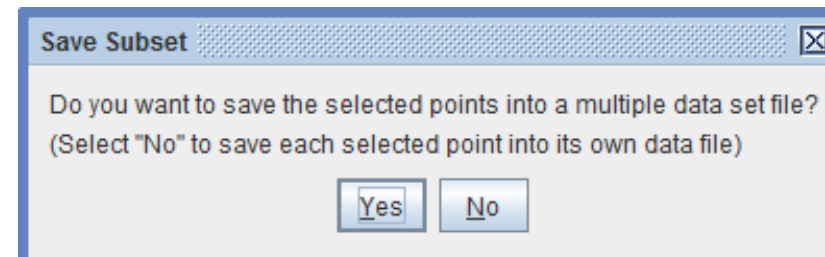


## ■ Saving

Right-click over Data  
'Save' button and  
press "Save Data  
Subset"



### Save Data Subset as Individual or Multi-Data Set file

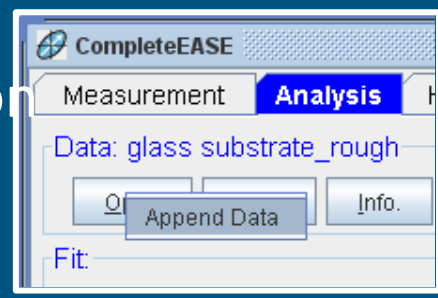




# HOW DO WE GET MULTI-DATA SETS?

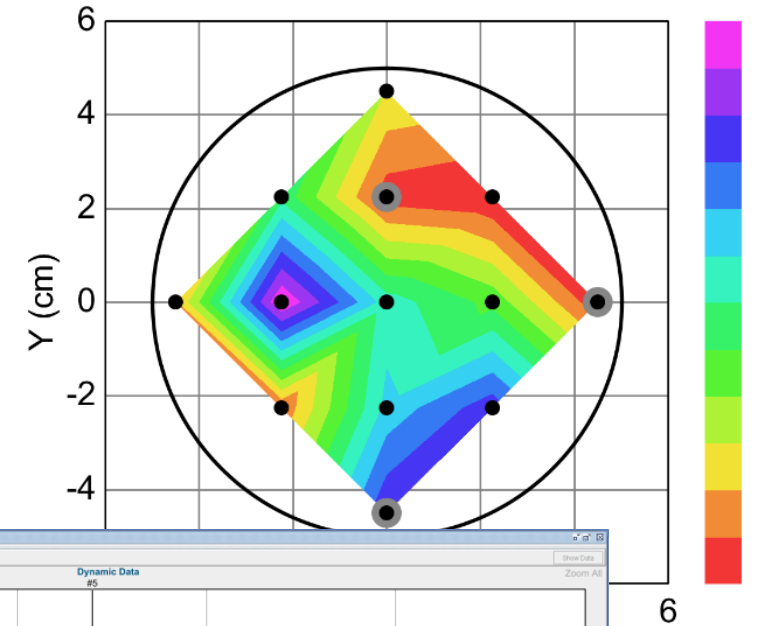
- Multiple single Measurements
  - Append Data

Right-click on  
Data 'Open'  
button



- Mapping Data

**CTRL-CLICK** to select multiple points

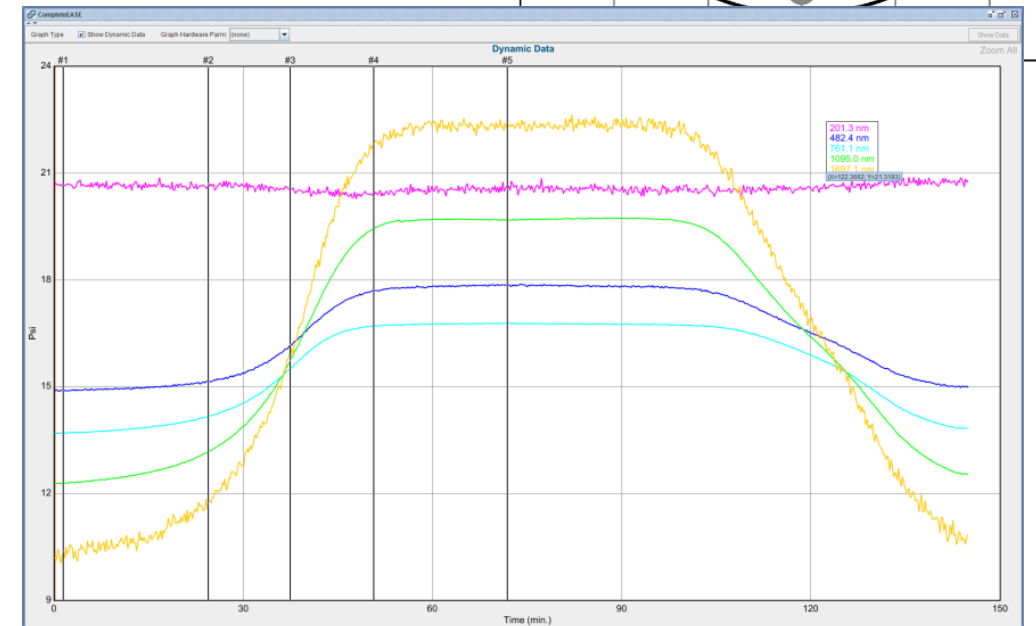


- Dynamic Data

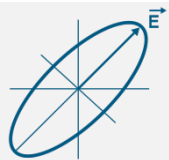
**CTRL-CLICK** to select multiple points

- Rotation Scans

**CTRL-Drag Mouse** to select "range" of Data points







# SETTING UP MSA IN CE

- Need to tell CompleteEASE which parameters to vary between multiple data sets

## Configure Options

Layer Commands: [Add](#) [Delete](#) [Save](#)

Include Surface Roughness = [OFF](#)

Substrate = [none](#)

Angle Offset = [0.000](#)

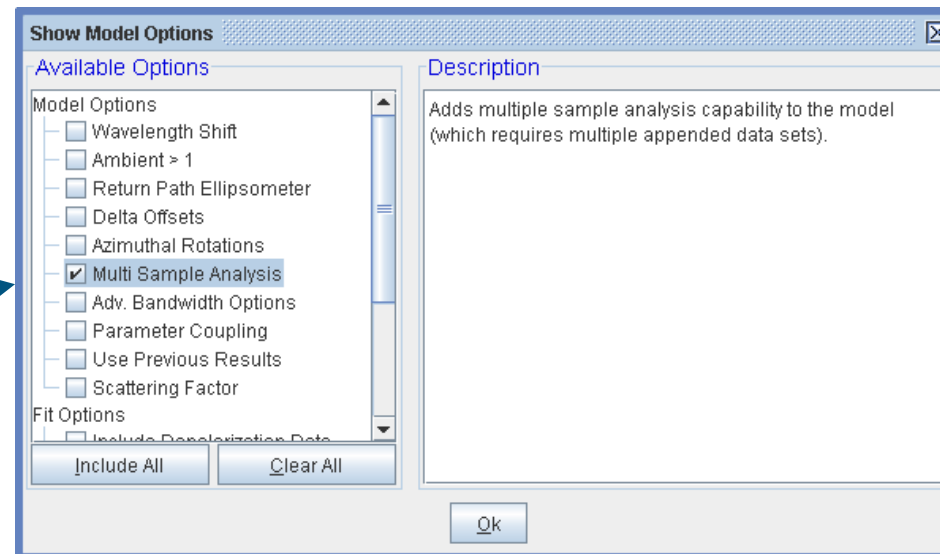
+ **MODEL Options**

+ **FIT Options**

+ **OTHER Options**

[Configure Options](#)

[Turn Off All Fit Parameters](#)



## Add Fit Parameter

- **MODEL Options**

Include Substrate Backside Correction = [OFF](#)

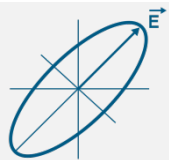
Model Calculation = [Ideal](#)

- **Multi Sample Analysis**

[Add Fit Parameter](#) [Delete All Parm](#)s

Data Set	Thickness # 1
<a href="#">#1</a>	<a href="#">100.00 nm</a>
<a href="#">#2</a>	<a href="#">100.00 nm</a>
<a href="#">#3</a>	<a href="#">100.00 nm</a>

**Roll Mouse over each Fit Parameter and  
choose which to fit**



# MSA IN CE

- Model parameters are the same for “selected” data sets
- Parameters added to “Multi Sample Analysis” section can vary between data sets
- Select, Unselect, and Delete Data Sets

- **Graded Layer** Graded SnO2:F Thickness = **567.43 nm** (MSA fit)

Grade Type = **Parametric** # of Slices = **15**

Grade Equation = **Two Segment** Position (%) = **70.00**

+ Material = **sno2-f\_genosc**

Layer # 2 = **SiO2** SiO2 thickness = **19.67 nm** (fit)

+ Layer # 1 = **SnO2\_Cauchy\_Fixed** SnO2 thickness = **30.55 nm** (fit)

Substrate = **glass\_substrate\_NK**

Angle Offset = **0.000**

- **MODEL Options**

Include Substrate Backside Correction = **OFF**

Model Calculation = **Ideal**

- **Multi Sample Analysis**

**Add Fit Parameter** **Delete All Parm**

Data Set	Graded SnO2:F Thickness	Top Res.	Bott Res.
#1	<b>560.76 nm</b>	<b>0.000156</b>	<b>0.000455</b>
#2	<b>550.00 nm</b>	<b>0.000146</b>	<b>0.000497</b>
#3	<b>554.79 nm</b>	<b>0.000150</b>	<b>0.000511</b>
#4	<b>567.43 nm</b>	<b>0.000093</b>	<b>0.000411</b>

